



## Overview: Converging, Combining, Emerging

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Highlands Forum 32, Arizona, 29 May 2007

**Robo-**

**Cogno-**

**Info-**

**CONVERGENCE**

**Eco-**

**Nano-**

**Bio-**

**Robo-**

**Cogno-**

**Info-**

**CONVERGENCE**

**Eco-**

**new interaction  
patterns and  
complex adaptive  
- systems**

**Nano-**

**Bio-**

**Non-linear Discontinuous  
Changes Evoked by Novel  
Interactions in Far From  
Equilibrium Systems**

**Accelerating  
Convergence**

**Systems  
and  
Systems  
of  
Systems (SoS)**

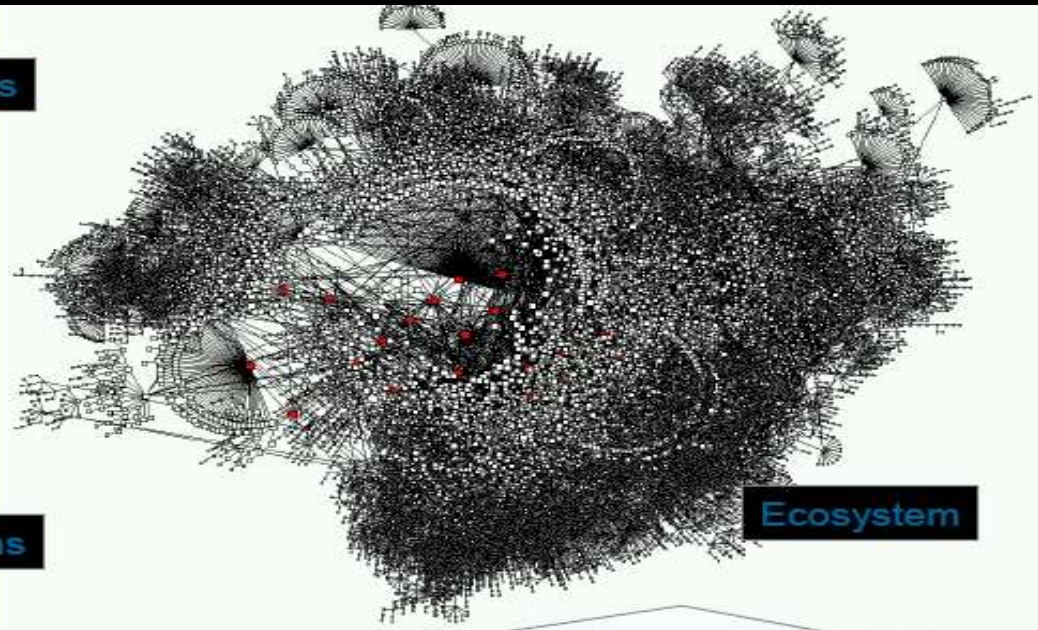
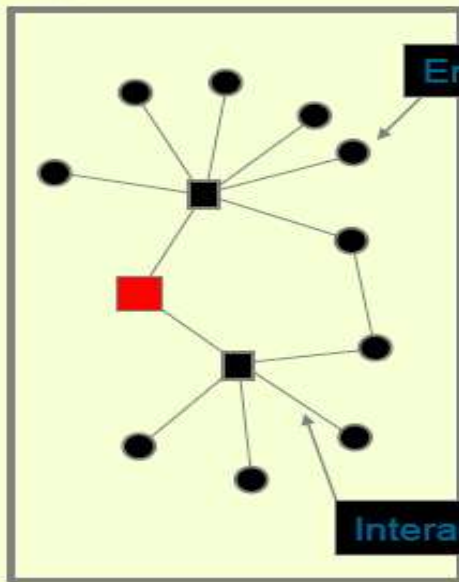
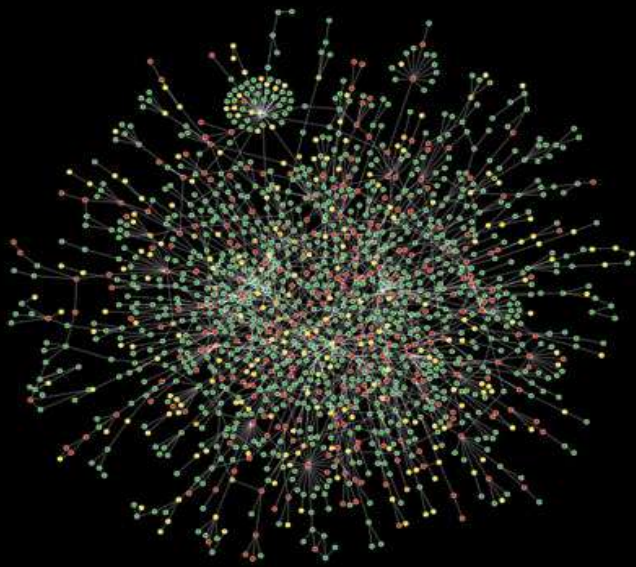
**COMBINATION**  
**the architecture  
of complex adaptive  
systems**

**Emergence**

**Pathways  
Modules  
Networks**

**Components**





Individual Actions lead to Complex Ecosystem

# Complex Adaptive Systems

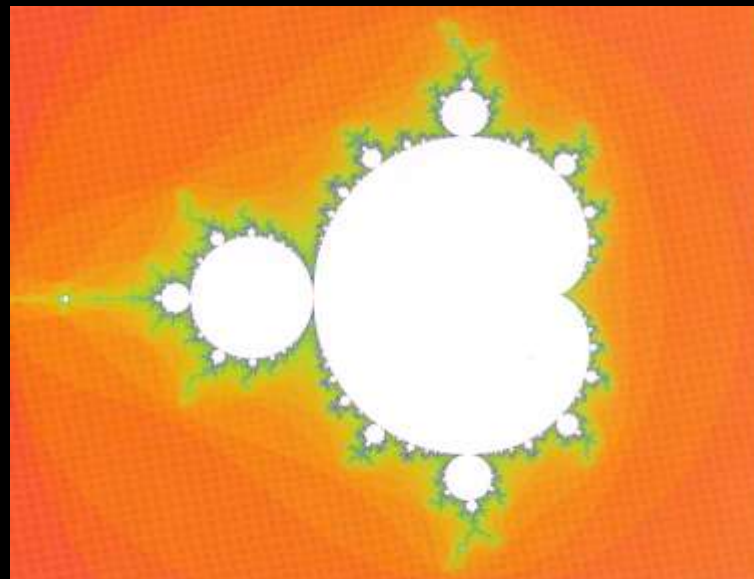
- specialized, modular, hierarchical multiscale structures with enormous 'hidden' complexity
- robust to uncertainties that are commonly encountered
- highly optimized tolerance
- vulnerable to unknown or neglected perturbations and design flaws

**ROBUST BUT FRAGILE**

- far-from-equilibrium systems
- edge-of-chaos, criticality, near bi-furcation, phase changes, inflection points, tipping points



# Emergence: Discontinuous Non-Linear Macro-Level Change Triggered by Convergence of Micro-Level Events





# “For most of us design is invisible. Until it fails” Bruce Mau. Massive Change. 2004





# The Poverty of Imagination

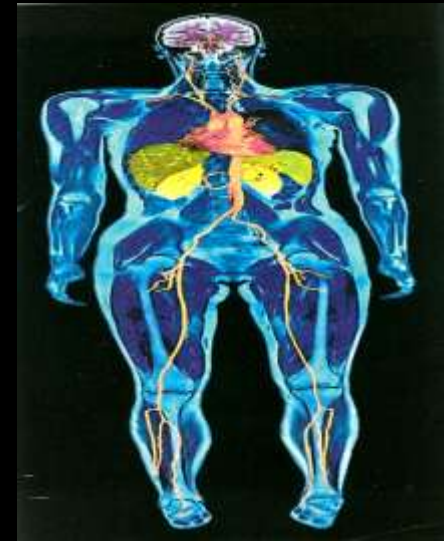
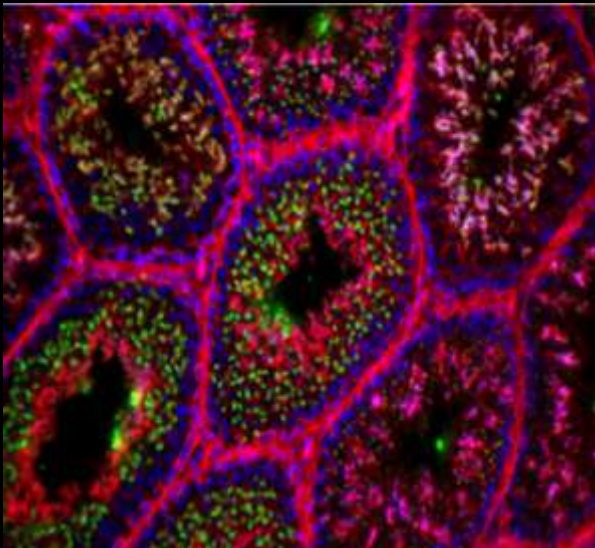
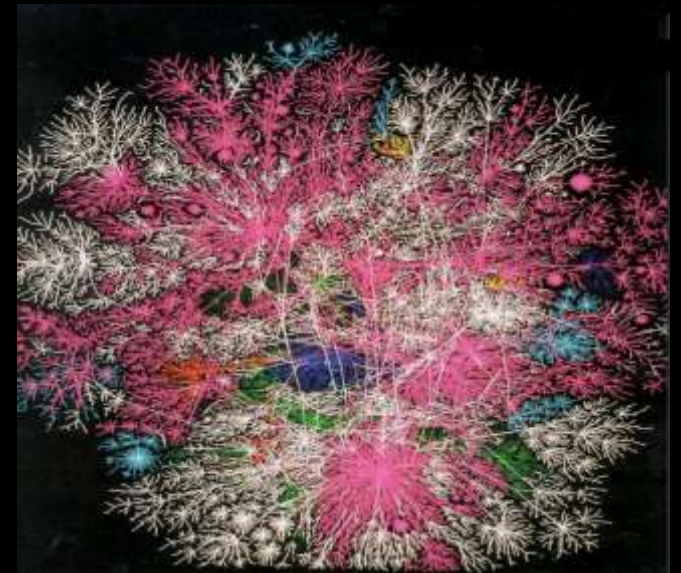
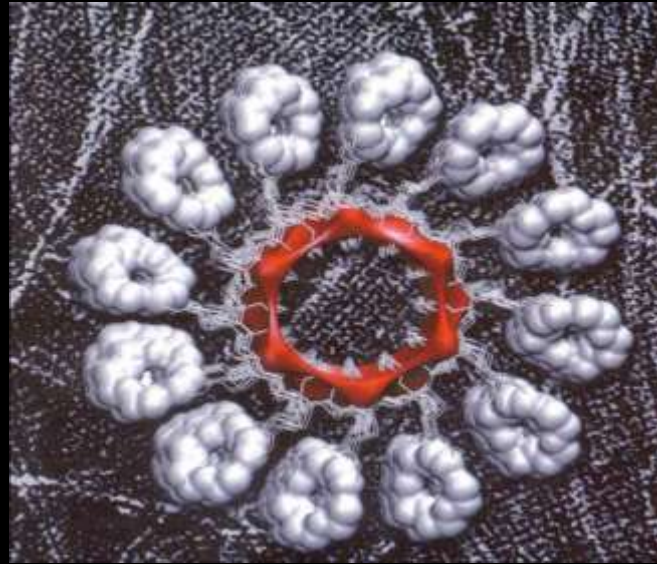
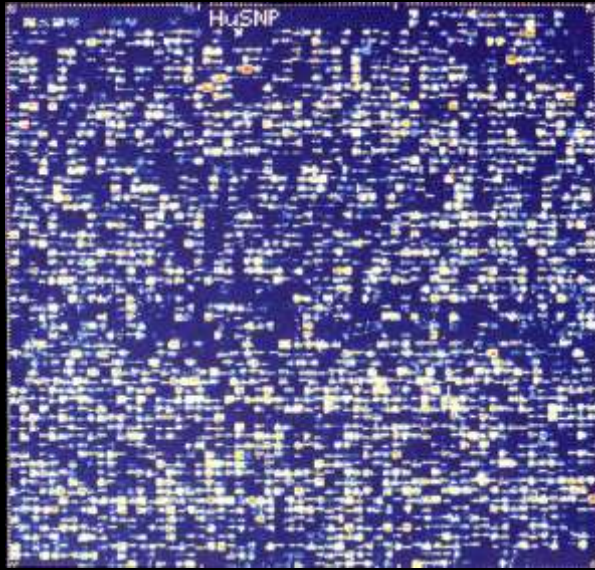


# The Poverty of Imagination: An Enduring Theme in History

- **foveal vision: focus on known indicators and threats**
- **the recurrent myopia of individuals, companies and nations in recognizing new disruptive technologies**
  - **complacency, risk aversion**
- **disruptive technologies are created disproportionately by individuals/companies operating at the mainstream margins**
  - **risky topics, investor timidity, corporate arrogance and conservatism**
- **profound societal implications of ‘rude surprises’**
  - **recurrent conflicts, crises, catastrophes**



# Comprehending Biological Design: The Design of Complex, Adaptive Networks of Increasingly Higher Structural Order





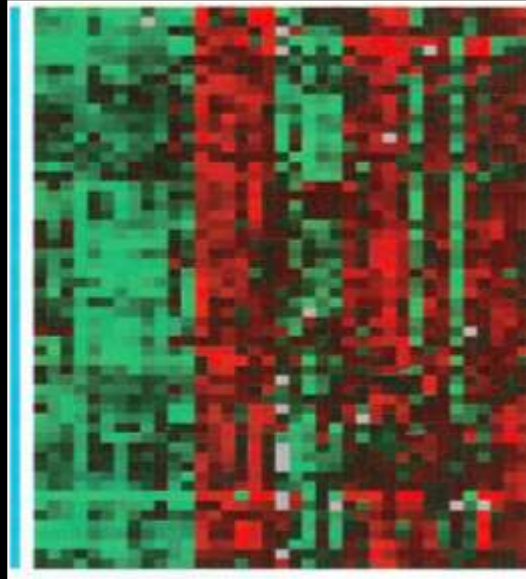
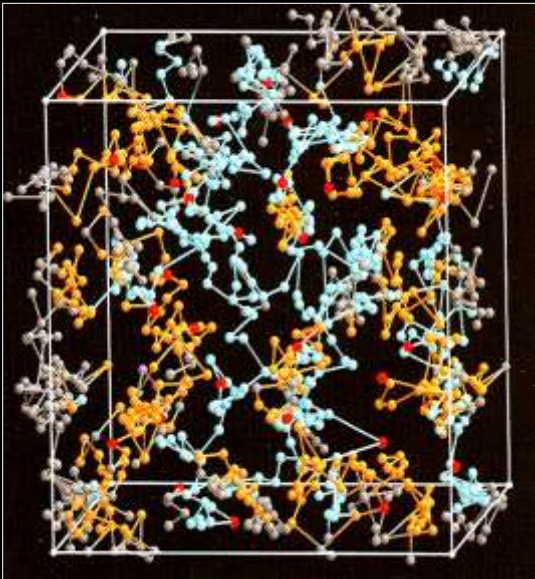
## The Life Sciences

- from descriptive to mechanistic
  - the rise of molecular biology
- from reductionism to systems biology
  - assembly and regulation of complex adaptive systems
- from systems biology to synthetic biology
  - exploring ‘combinatorial’ biospace

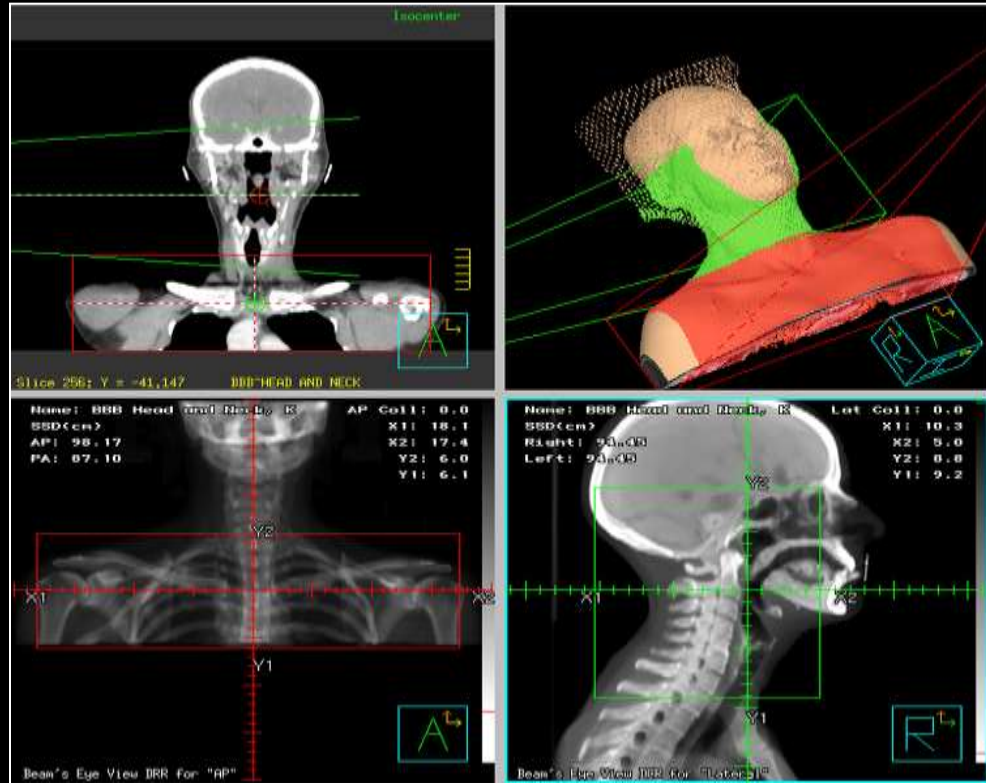
**Rapid Proliferation of Dual-Use  
Technology Platforms**

## From Treatment of Illness to Aggressive Promotion of Wellness

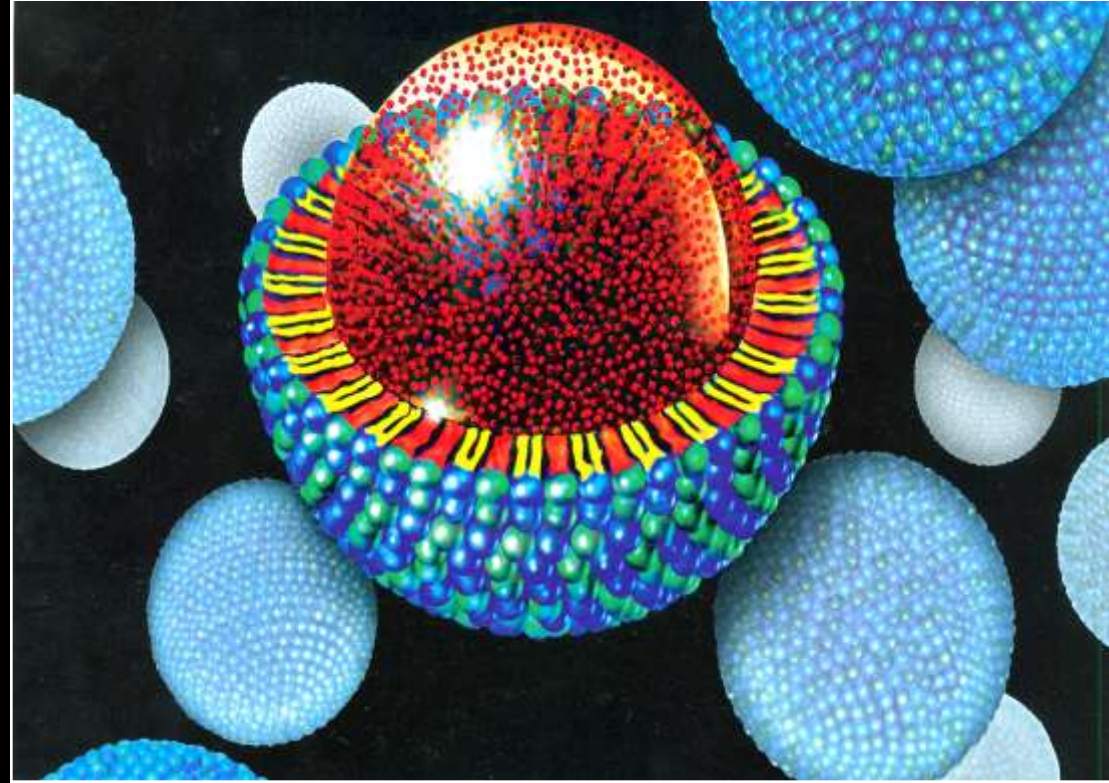
- mapping the molecular 'signatures' of disease
- proactive detection of disease
- predictive medicine
- preventive medicine
- personalized medicine



## Advanced Body Imaging Systems

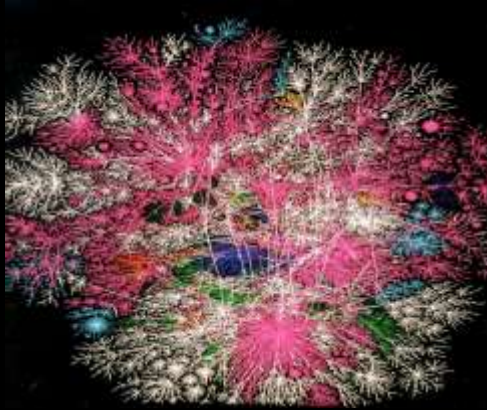
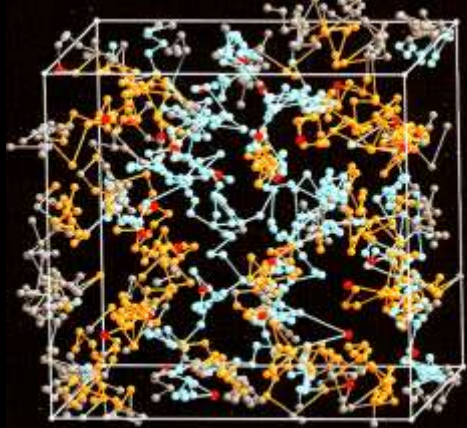


## Nanoscale Encapsulation of Drugs and Imaging Agents for Targeted/Stealth Delivery Systems



## Imaging at Cellular Level and Real Time Dynamics





- **future biothreats will not be limited to microorganisms**
- **mapping of genetic control circuits/networks for key homeostatic functions**
  - major advances in medicine
  - simultaneous ID of “nodes” for perturbation
- **creation of biological circuit disrupters (BCDs) will be easier than microbial modification**
  - screening of large combinatorial chemical libraries
  - small molecule BCDs

# Constructing The Tree of Life

**CAROLI LINNÆI**

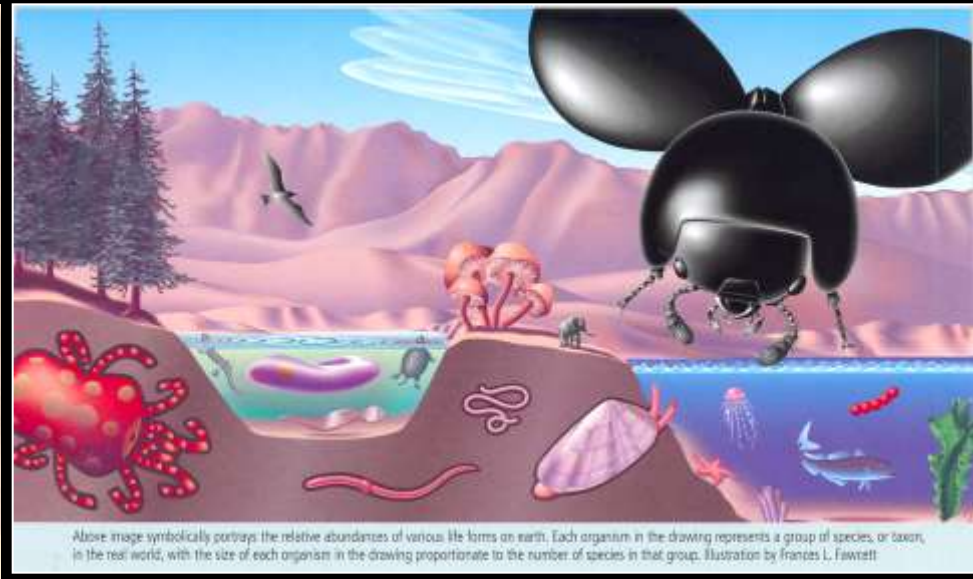
**I. QUADRUPEDIA.**  
Certe latens. Non gressu. Dentes vagati. Latitans.

**II. AVES.**  
Certe gressum. Alio die. Pede duo. Alisq. alio. Pinnis rotatis.

**III. AMPHIBIA.**  
Certe gressu. et somno. Dentes vagati. Latitans. et aliis. Dentes. Pinnis rotatis.

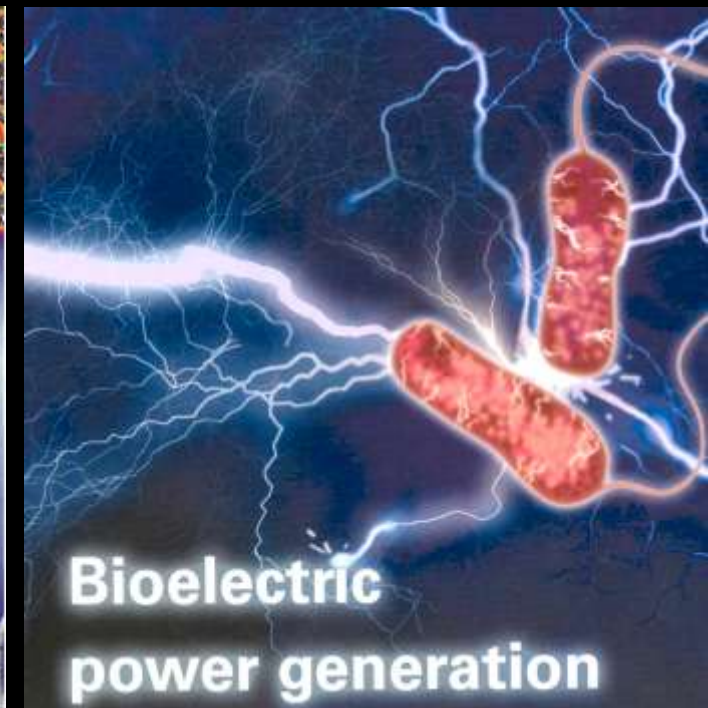
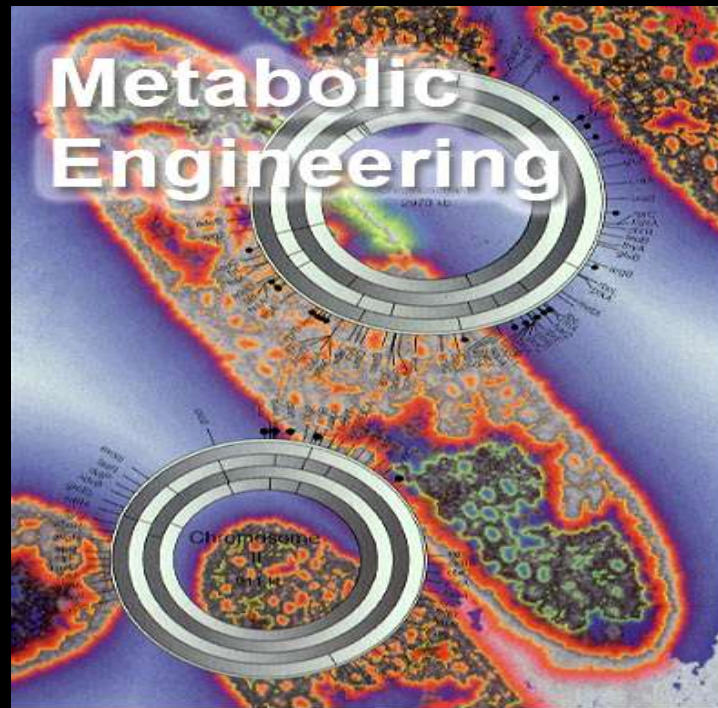
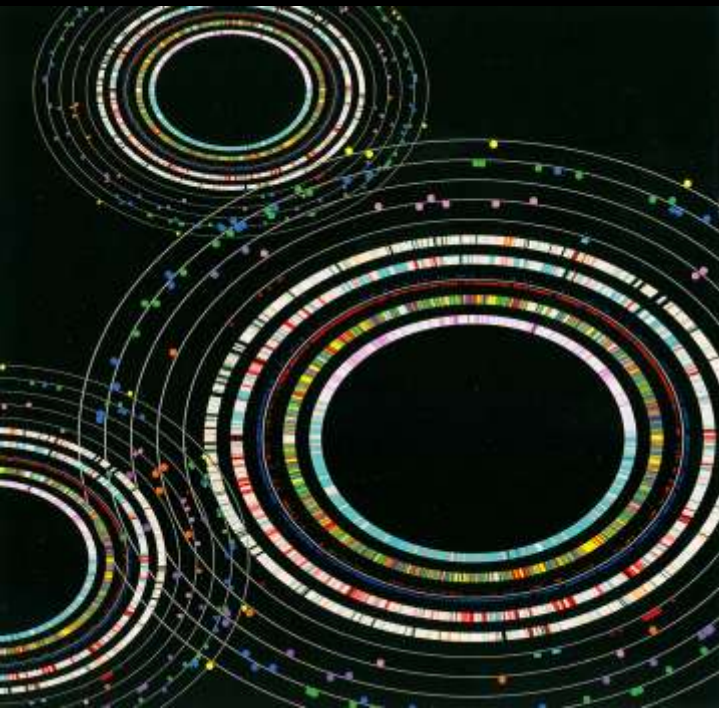
**PARADOXA**

Facsimile of the first edition of *Systema Naturae* (1735)



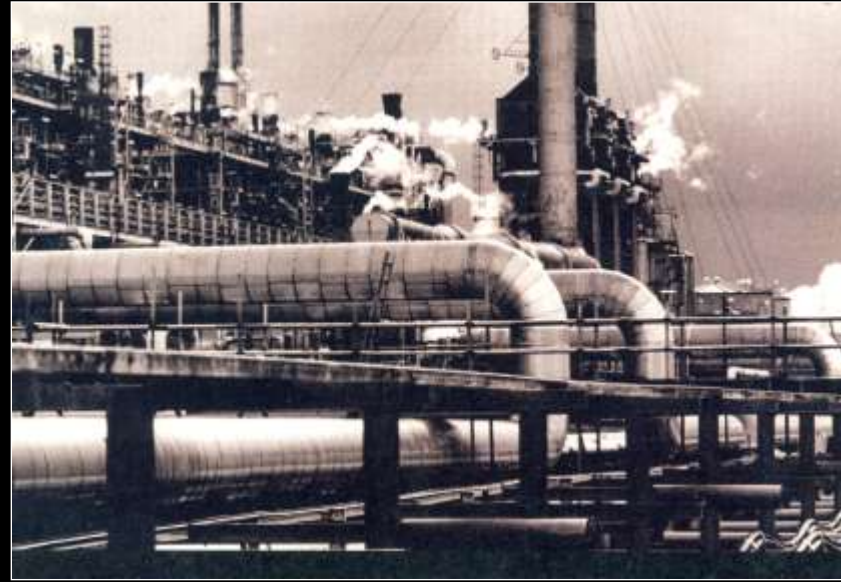
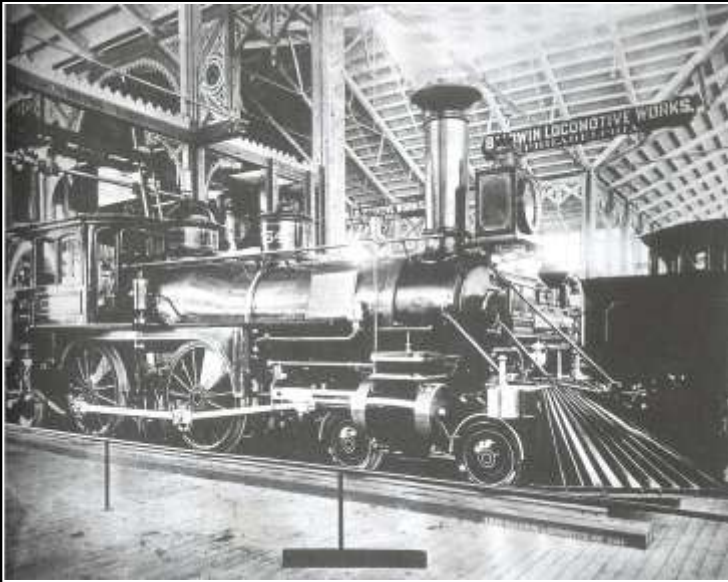


# Synthetic Biology and Biomimetic Design: Forging a New Industrial Ecology





## The Industrial Era: The Machine Metaphor



**“The mechanical explanation of nature  
finally hardened into a dogma of science”**

**Alfred North Whitehead**

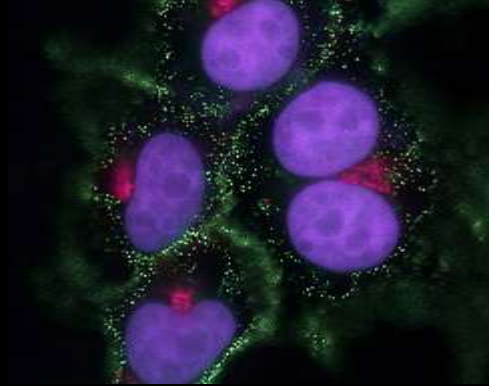
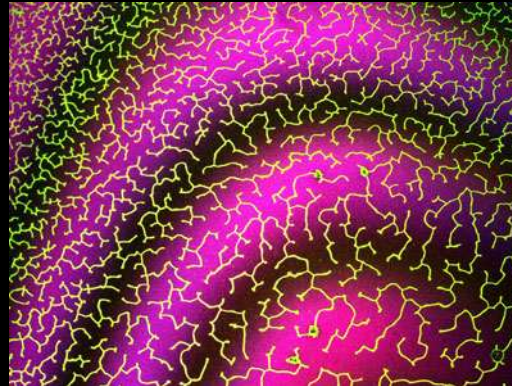
# The Era of Biological Design: “Exploring Biospace”

“The machine age is about to meet a new challenger.  
The machine age view is incomplete.....  
Our best innovations will increasingly look like living things.  
Not life in the traditional sense,  
but a biology that has been consciously crafted by humans  
- a new biology

Robert Freynay (2006)

Pulse,

Farrar, Straus, Giroux, New York





# “Exploring Biospace”

## The Design and Construction of Novel Biological Functions and Life Forms

### Minimal Genomes

- building cellular chassis’ as universal recipients for transferred genes

### Building Increasingly Complex Modules and Feedback Controls

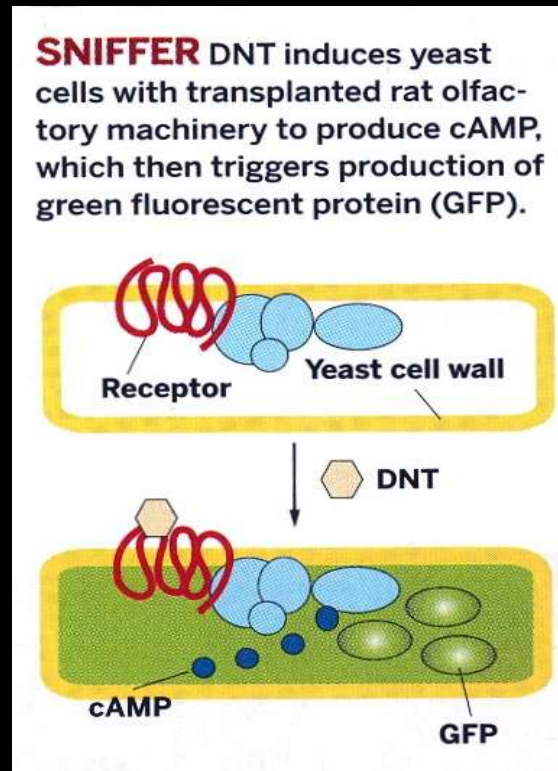
- modulation and control at different hierarchical levels
  - subcellular
  - cellular
  - tissue
  - organ

### De Novo Design and Incorporation of Novel Functions

- novel combinatorial assemblies
- synthetic genes and regulators
- non-natural coding/information elements

“Plug and Play Genetics”

# Engineering Sentinel Organisms as Environmental Sensors



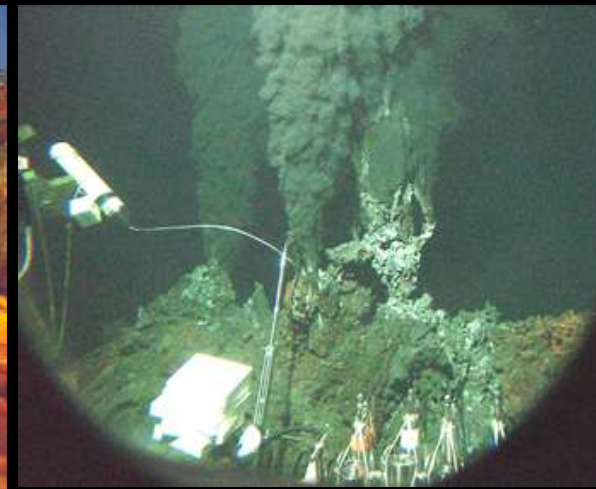
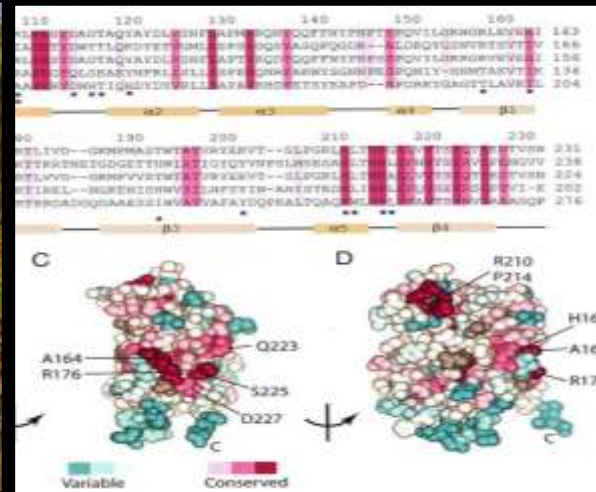
D. N. Dhanasekaran et al (2007) Nature Chem. Biol.

DOI: 10.1038/nchembio882

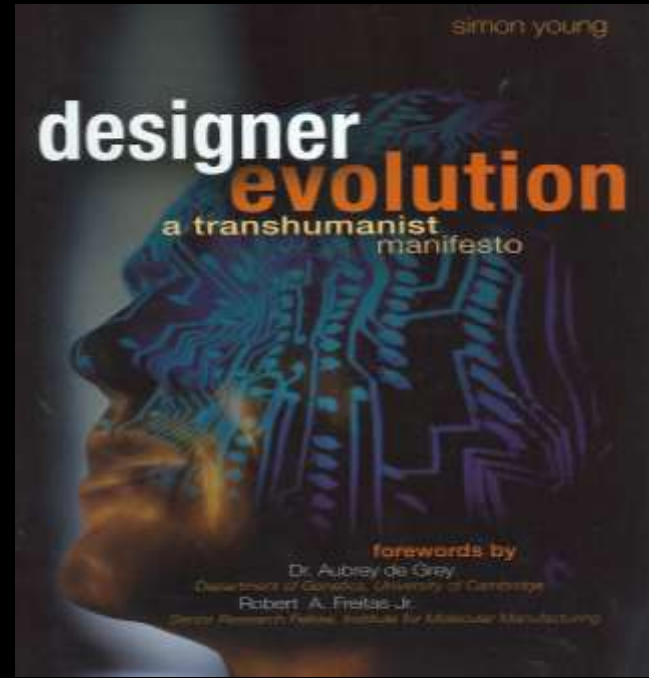
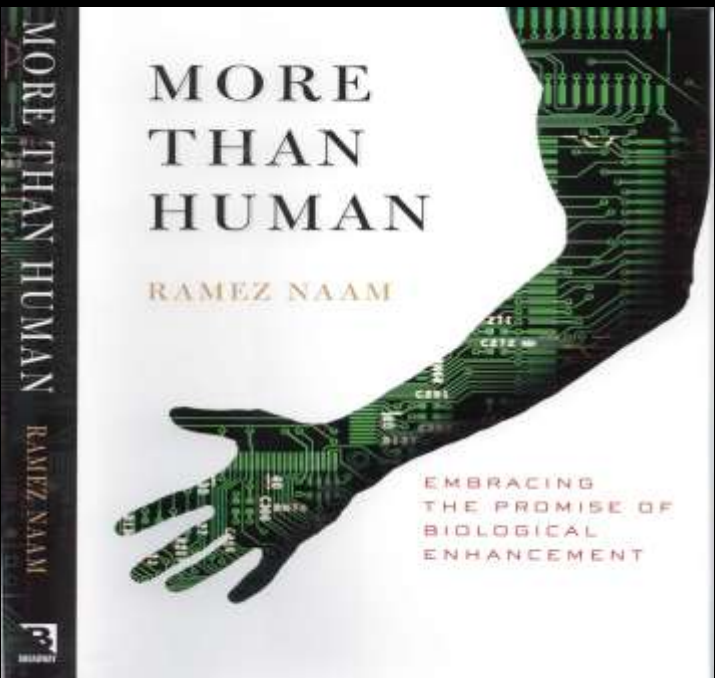
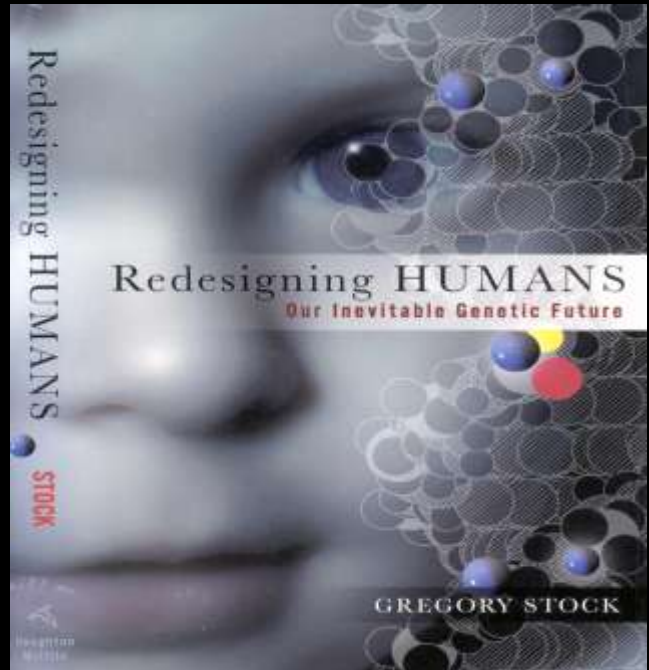
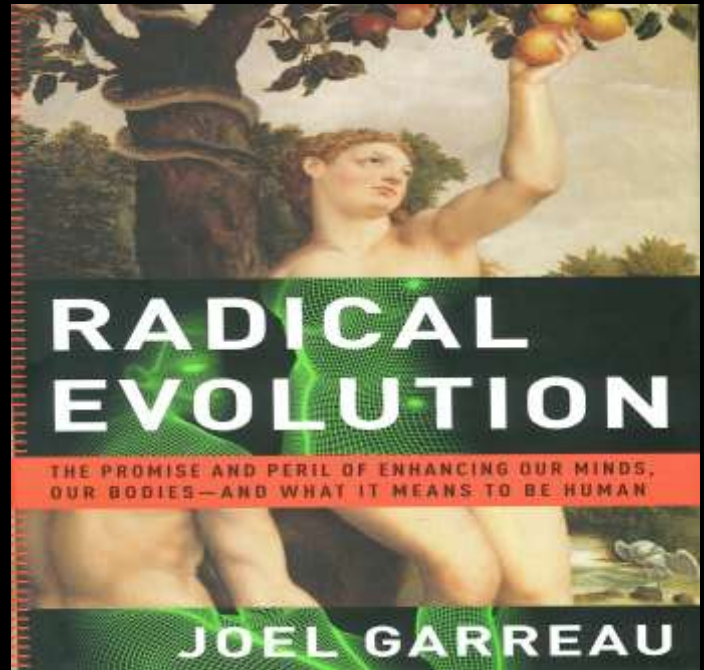


# Metagenomics and Ecogenomics: Mapping the Extraordinary Genomic Diversity and Biosynthetic Capabilities of Microbial Life

eco-niches      comparative genomics



extremophiles





**Science (2007) 315, 1723**

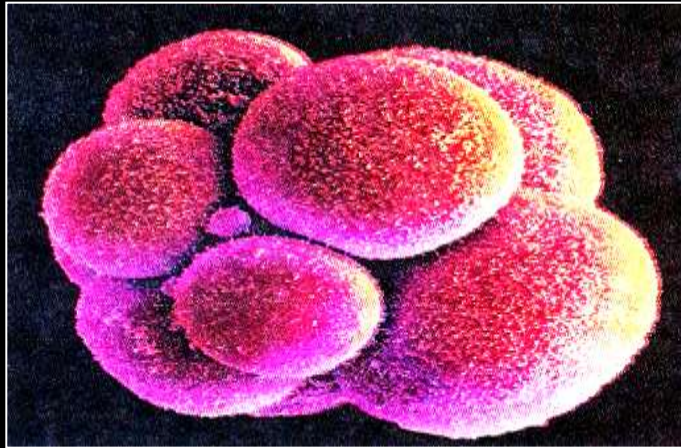
# **Emergence of Novel Color Vision in Mice Engineered to Express a Human Cone Photopigment**

Gerald H. Jacobs,<sup>1\*</sup> Gary A. Williams,<sup>1</sup> Hugh Cahill,<sup>2,3,4</sup> Jeremy Nathans<sup>2,3,4,5</sup>

Changes in the genes encoding sensory receptor proteins are an essential step in the evolution of new sensory capacities. In primates, trichromatic color vision evolved after changes in X chromosome–linked photopigment genes. To model this process, we studied knock-in mice that expressed a human long-wavelength–sensitive (L) cone photopigment in the form of an X-linked polymorphism. Behavioral tests demonstrated that heterozygous females, whose retinas contained both native mouse pigments and human L pigment, showed enhanced long-wavelength sensitivity and acquired a new capacity for chromatic discrimination. An inherent plasticity in the mammalian visual system thus permits the emergence of a new dimension of sensory experience based solely on gene-driven changes in receptor organization.

# Regenerative Medicine

## Embryonic Stem Cells



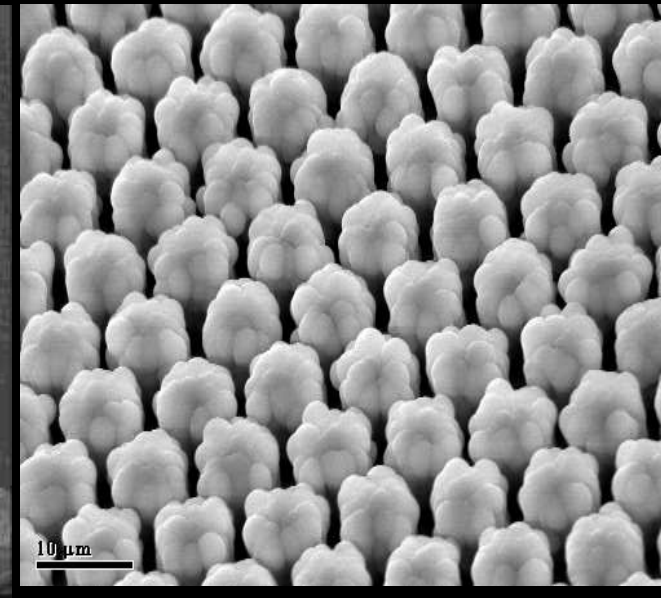
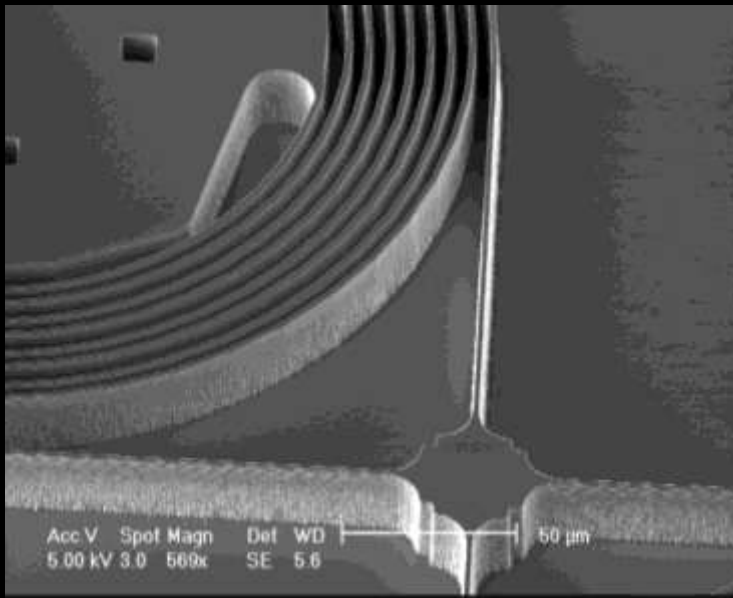
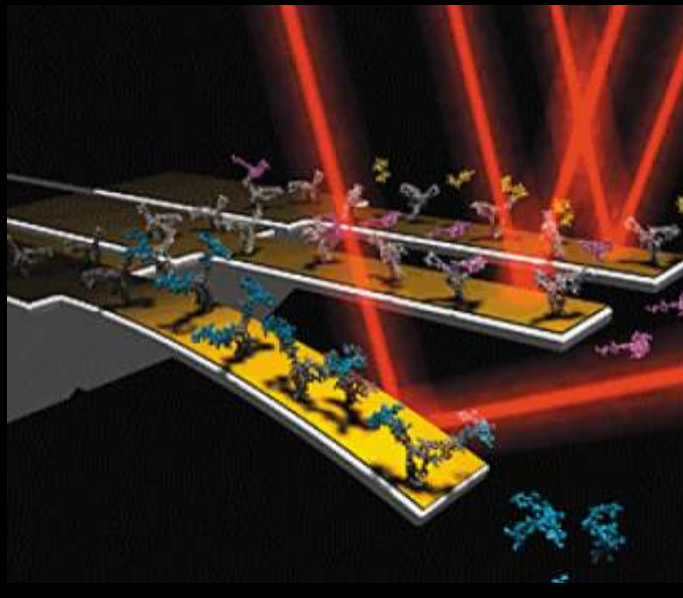
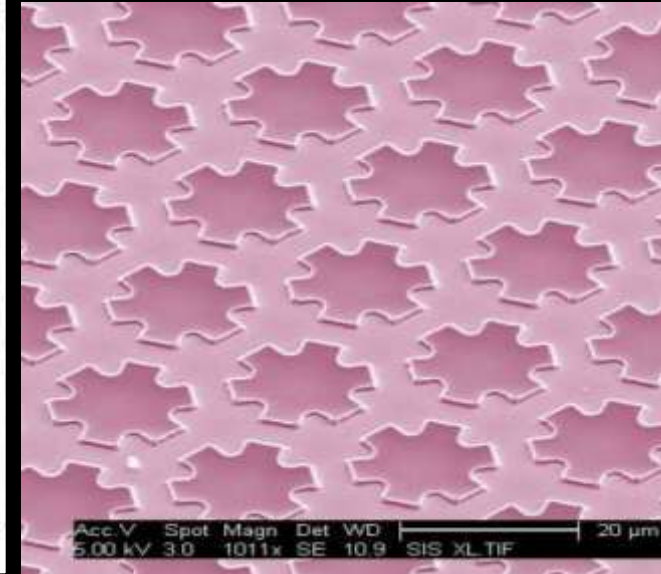
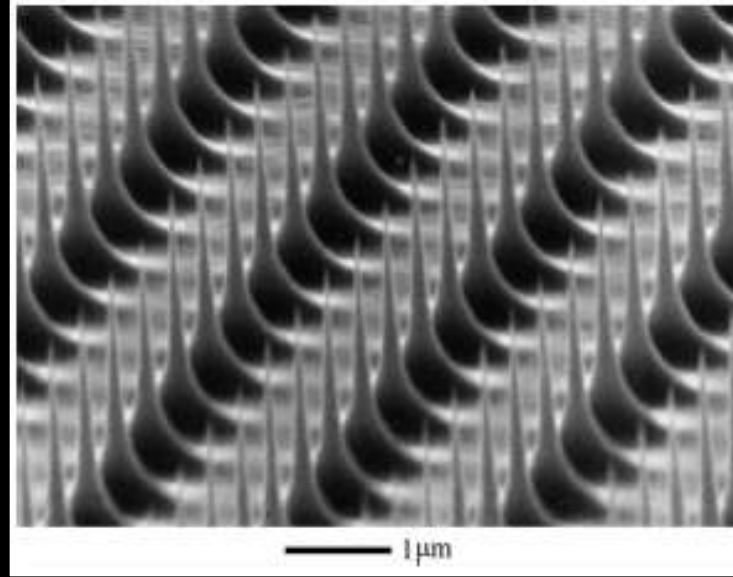
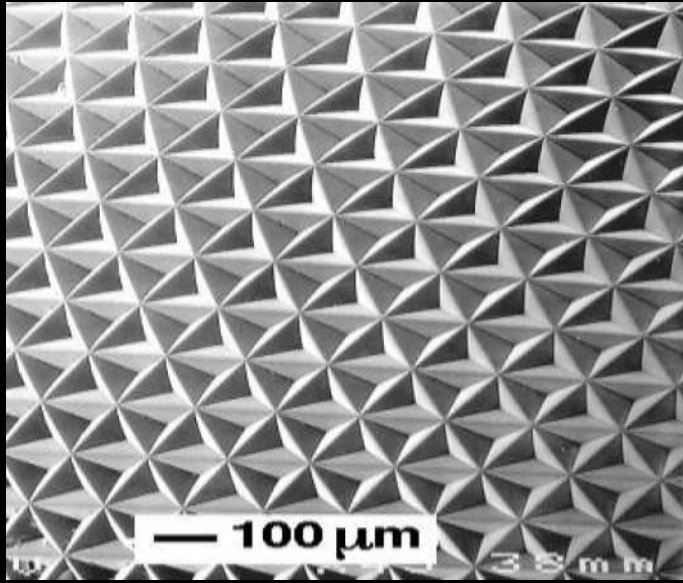
## Tissue Engineering



## Xenografts: A Distant Future

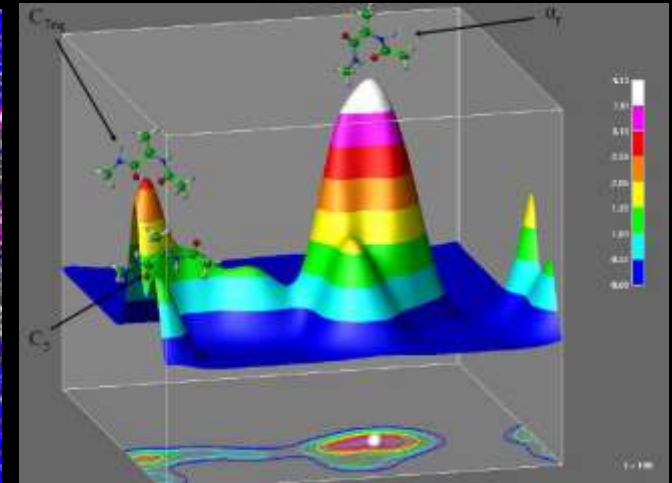
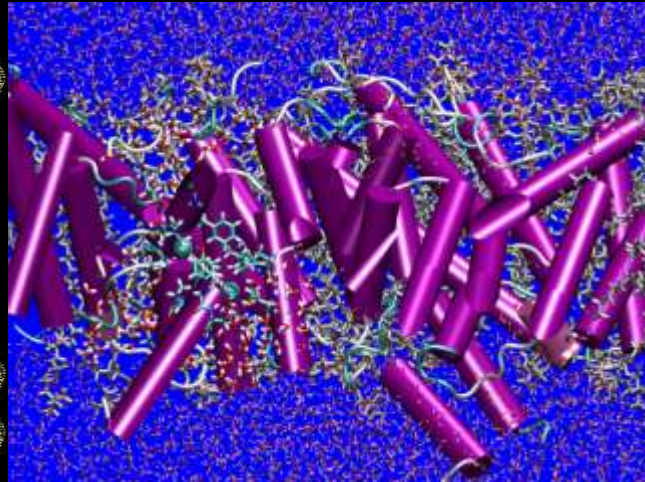
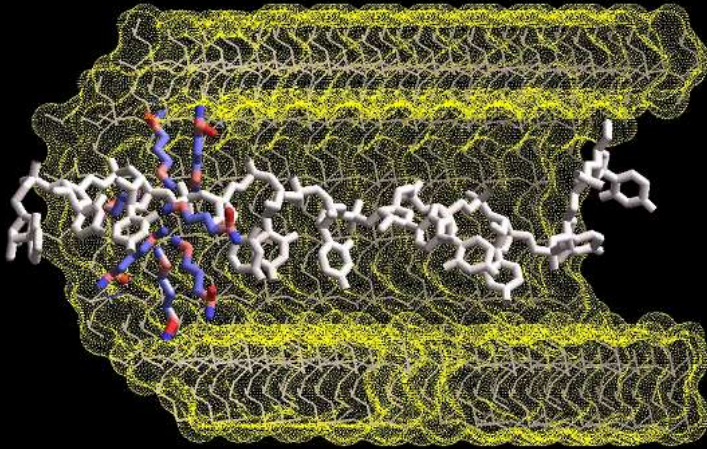


# Nanotechnology: Our Shrinking Prospects

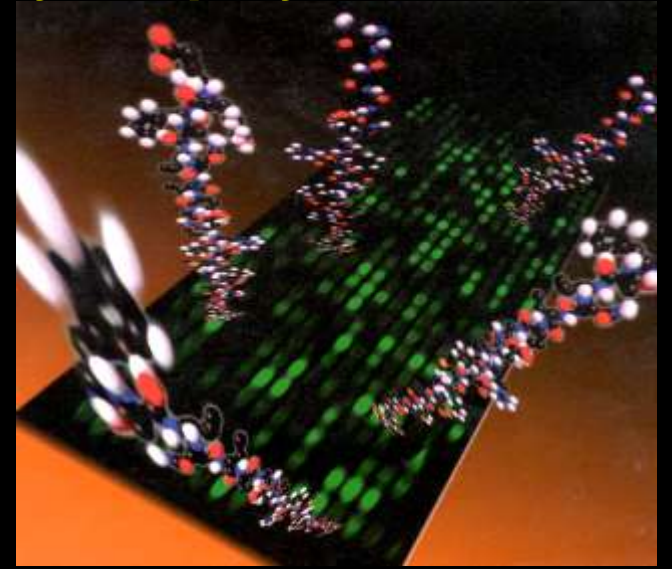
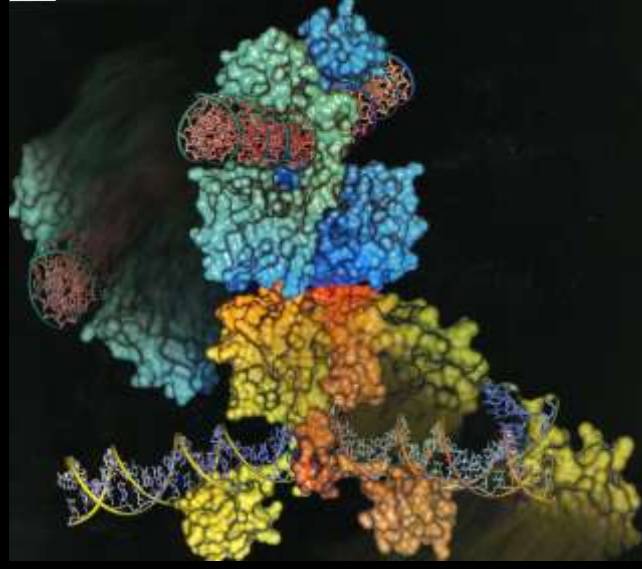
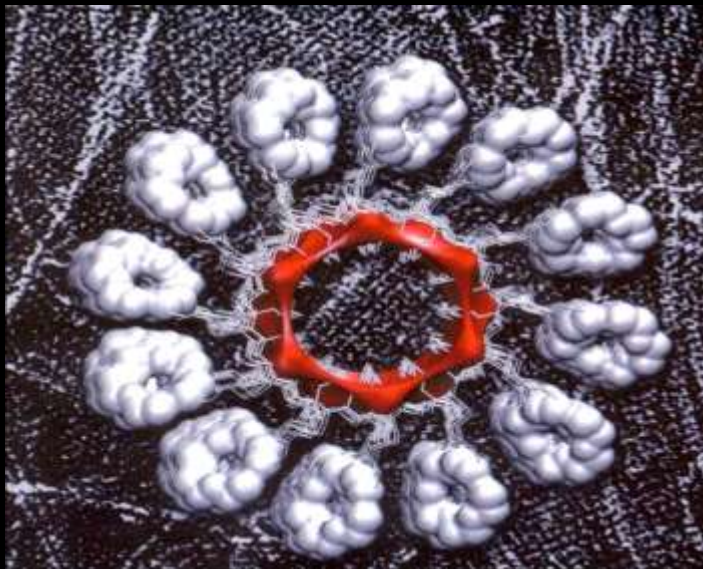




# Understanding Molecular Dynamics and Quantum Scale Effects

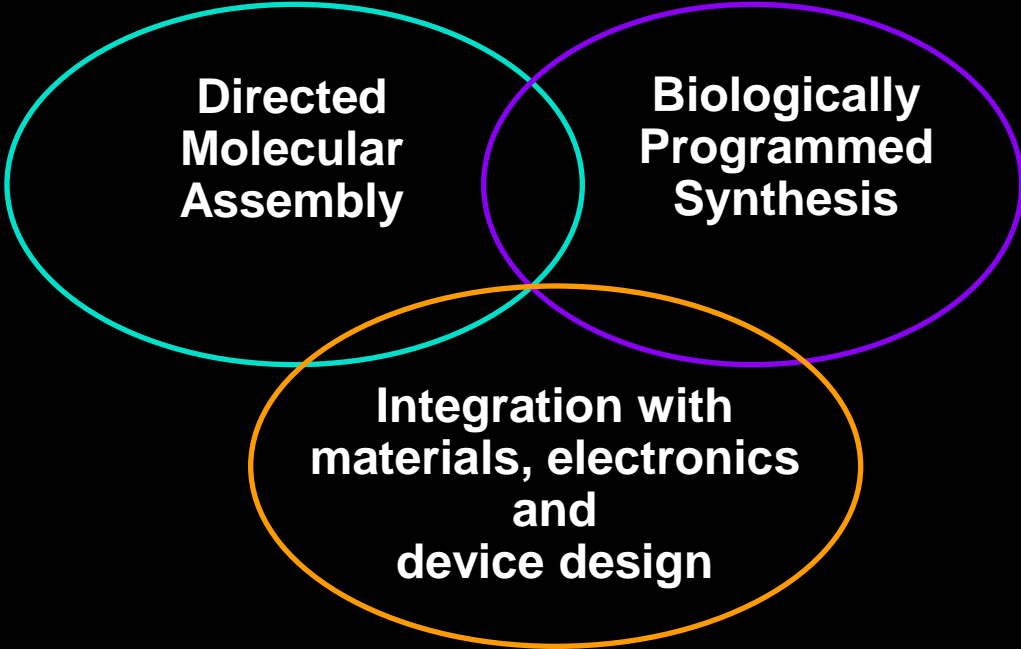
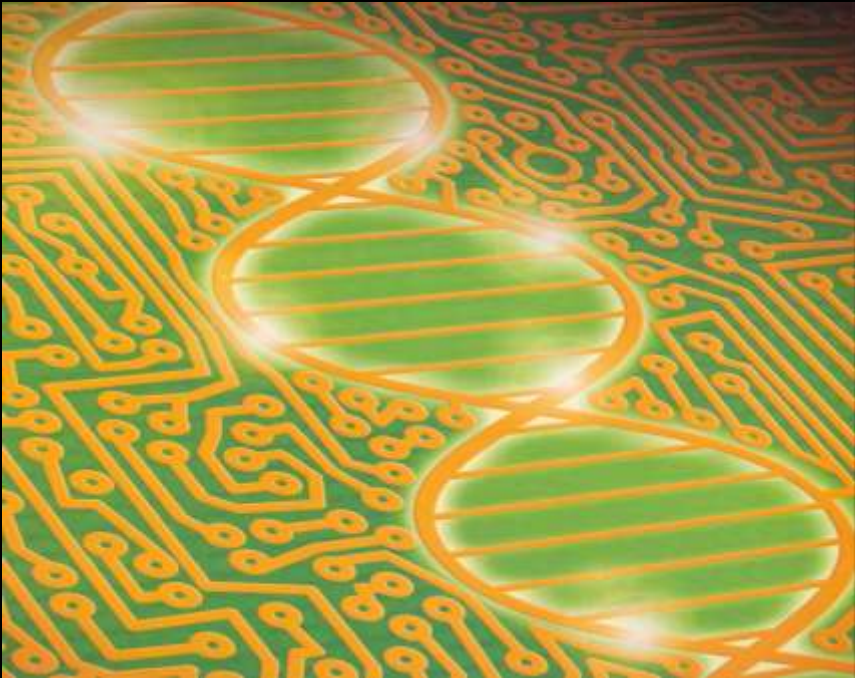


## Directed Molecular Assembly: Addressable, High Feature Density Displays

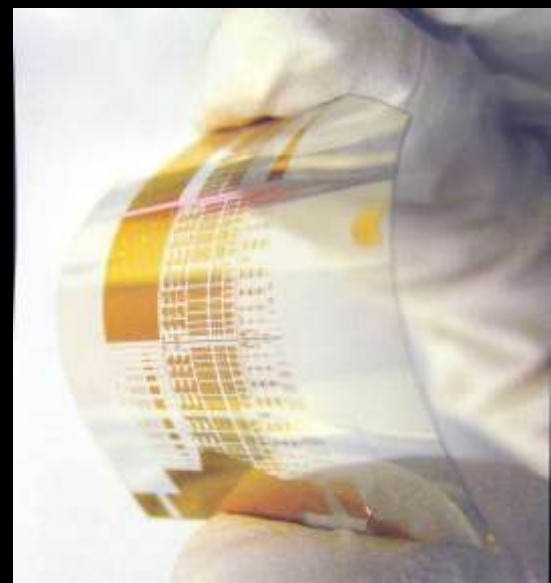




# Novel Hybrid Materials: Combining Biological (Organic) and Non-Biological (Inorganic) Components



# Novel Materials



- flexible superfast silicon electronics

- non-reflective coatings
- black body materials

- metamaterials

- switchable materials



# Nanoelectronics

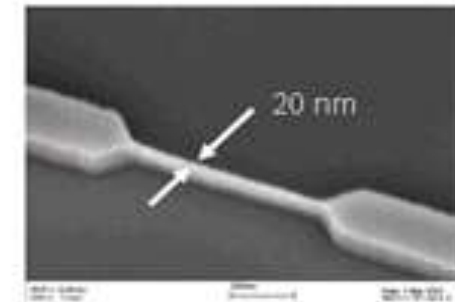
## Quantum Transistors ( $d \sim \lambda_e$ )



## Single electron transistors



## Carbon nano tube Molecular devices

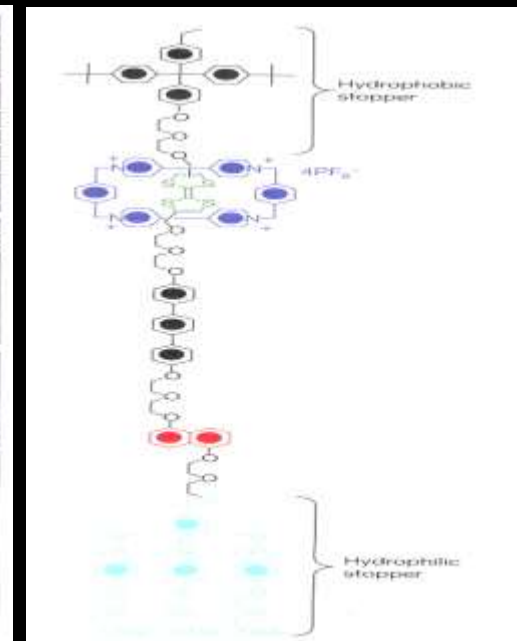
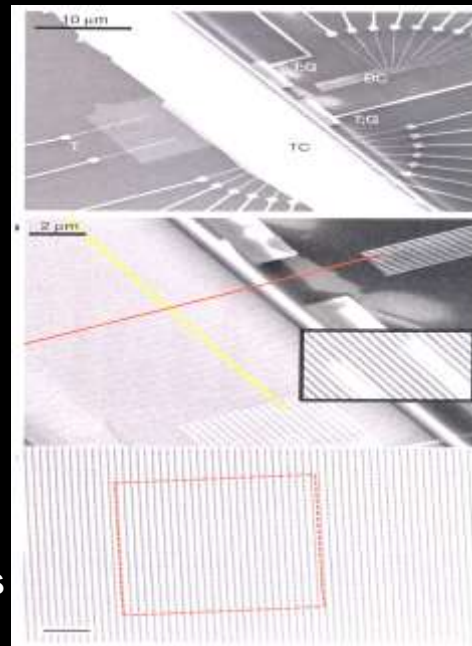


## LETTERS

### A 160-kilobit molecular electronic memory patterned at $10^{11}$ bits per square centimetre

Jonathan E. Green<sup>1\*</sup>, Jang Wook Choi<sup>1\*</sup>, Akram Boukai<sup>1</sup>, Yuri Bunimovich<sup>1</sup>, Ezekiel Johnston-Halperin<sup>1,2</sup>, Erica Delonno<sup>1</sup>, Yi Luo<sup>1,2</sup>, Bonnie A. Sheriff<sup>1</sup>, Ke Xu<sup>1</sup>, Young Shik Shin<sup>1</sup>, Hsian-Rong Tseng<sup>1,2</sup>, J. Fraser Stoddart<sup>1</sup> & James R. Heath<sup>1</sup>

- 400 Si bottom-nanowire electrodes
  - 16 nm wide, 33 nm pitch, phosphorus-doped,  $n=5 \times 10^{19} \text{ cm}^{-3}$
- 400 Ti top-nanowire electrodes
  - 16 nm wide, 33 nm pitch
- sandwiched monolayer of bistable rotoxanes
- memory cell size  $0.0011 \text{ } \mu\text{m}^2$



# The Infocosm: Emerging Networks of Global Connectivity





# Everything of Value Will Be Tracked Everywhere: Challenges for a Trustworthy Information Society

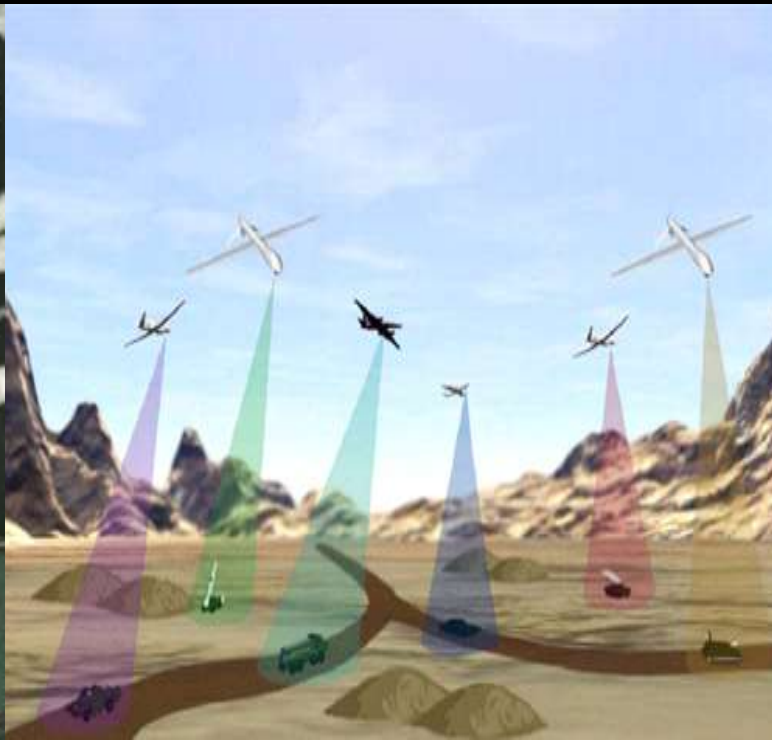


- decentralized systems design and network topology
- heterogeneous data and semantic interoperability
- complexity limit of scaling up
- quantum limit of down scaling
- consequences of open systems
- multi-scale concepts (emergence)
- new computing paradigms

**SECURITY, PRIVACY, LEGALITY**

# Tagging, Tracking and Locating (TTL)

## New Technological Platforms for Enhanced National Security Capabilities in Surveillance and Interdiction







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# On Body: In Body Sensors/Devices





# Human Signatures Analysis and Profiling

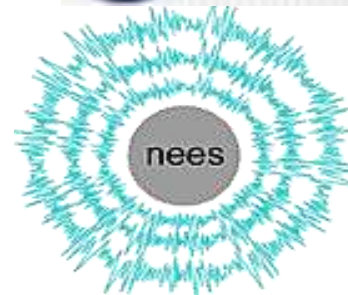
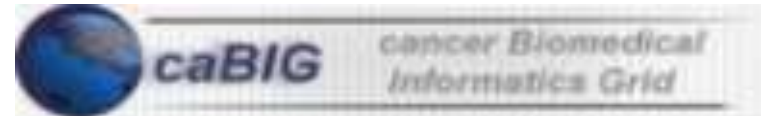
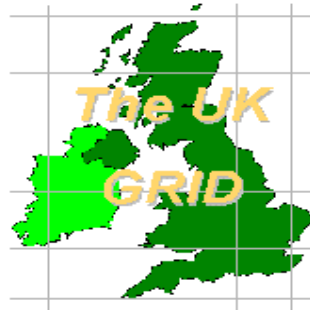


**“Invisible” Customized Networks**



**Mr. Smith... please remember to get your annual physical**

# Grids of All Flavors





# Ubiquitous Sensing and Ambient Intelligence: Embedded Sensors and “Disappearing Electronics”

- environment sensitive, adaptive and responsive to people and objects
- augmenting individuals and systems via smart non-explicit assistance
- from 10's cm<sup>3</sup> to 10's of mm<sup>3</sup> /μm<sup>3</sup> /nm<sup>3</sup>
- from 10's to 100's μW to 0.001 to 1μW

## Privacy and Information

- 2010: 15 Petabits ( $10^{16}$ ) / \$250,000
- Human Genome: 10 Gigabits ( $10^{11}$ )

*For a few million dollars, one could store the complete genome of every American and European*

*...for several more, could add credit card records, telephone logs, travel history,...*





# Who Controls the Information, Wins?



**“Google might pose a national defense concern at some point simply by virtue of its singularly massive storehouse of data, the crude oil of the information economy”**

**George Dyson  
Author: Darwin Among the Machines:  
The Evolution of Global Intelligence  
Quoted in Business Week 9 April 2007**



**“If Google succeeds in its mission, then we’re doing everything.”**

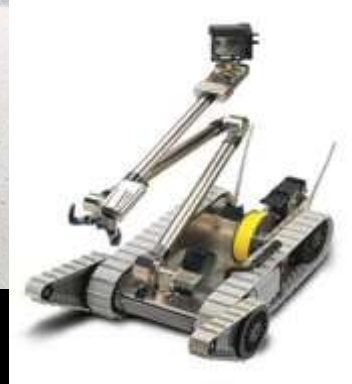
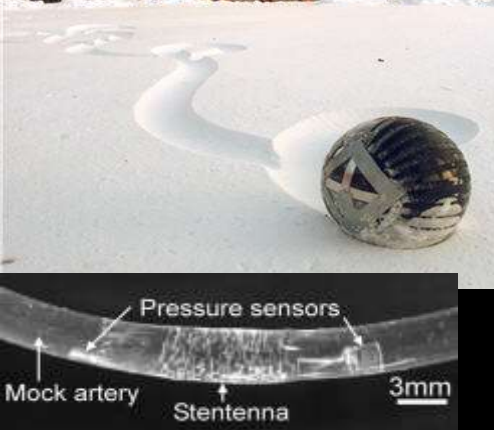
**L. Page  
Co-Founder, Google  
Business Week 9 April 2007, p. 52**



**“As we improve our machines  
they will become more organic, more biological,  
more like life itself, .....**  
**Someday the difference between machines and biology  
will be hard to discern .....**  
**The organic and the machine are merging”**  
**Kevin Kelly (1994)**  
**Out of Control: The New Biology of Machines**

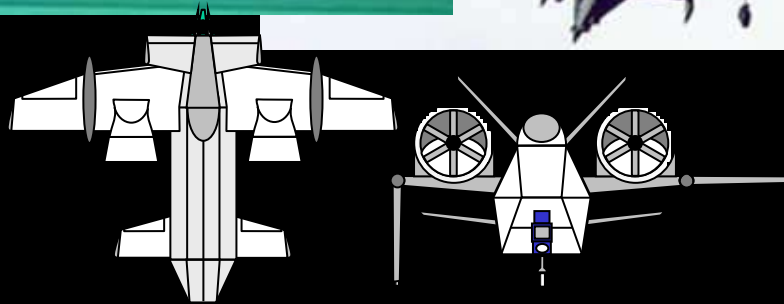


# Robots of All Shapes and Sizes



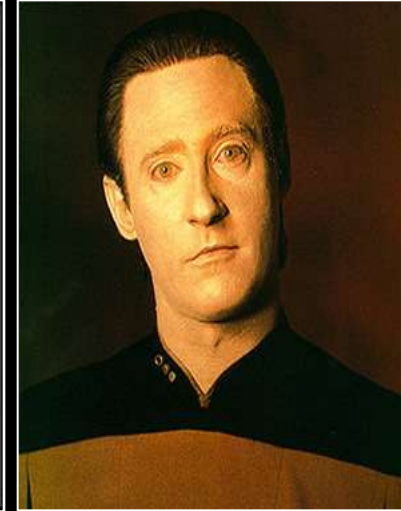


# UAVs and UAV VTOL Technology

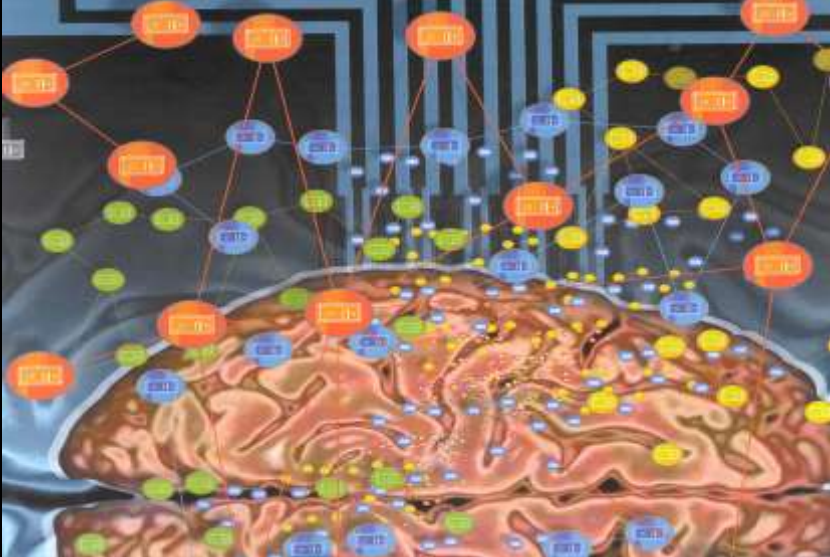
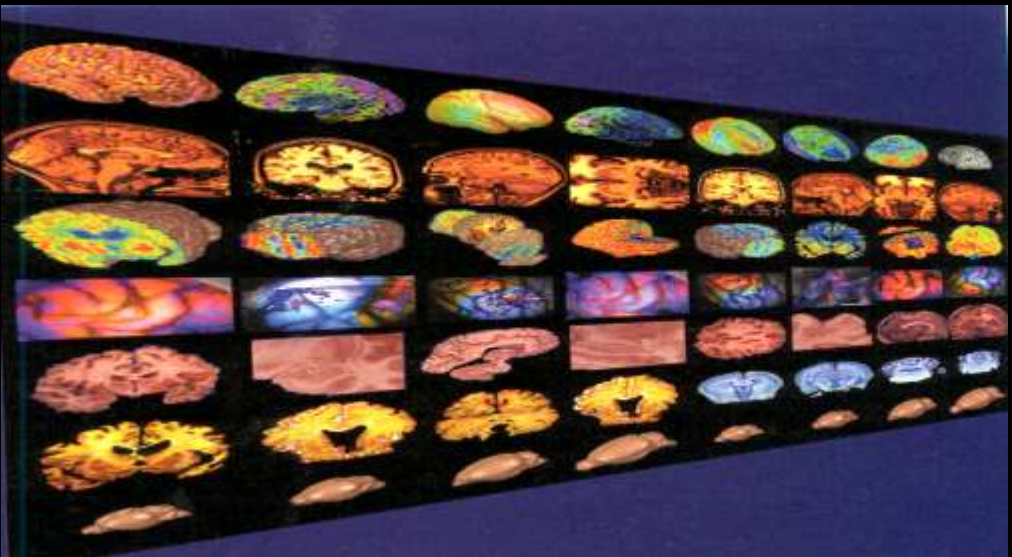
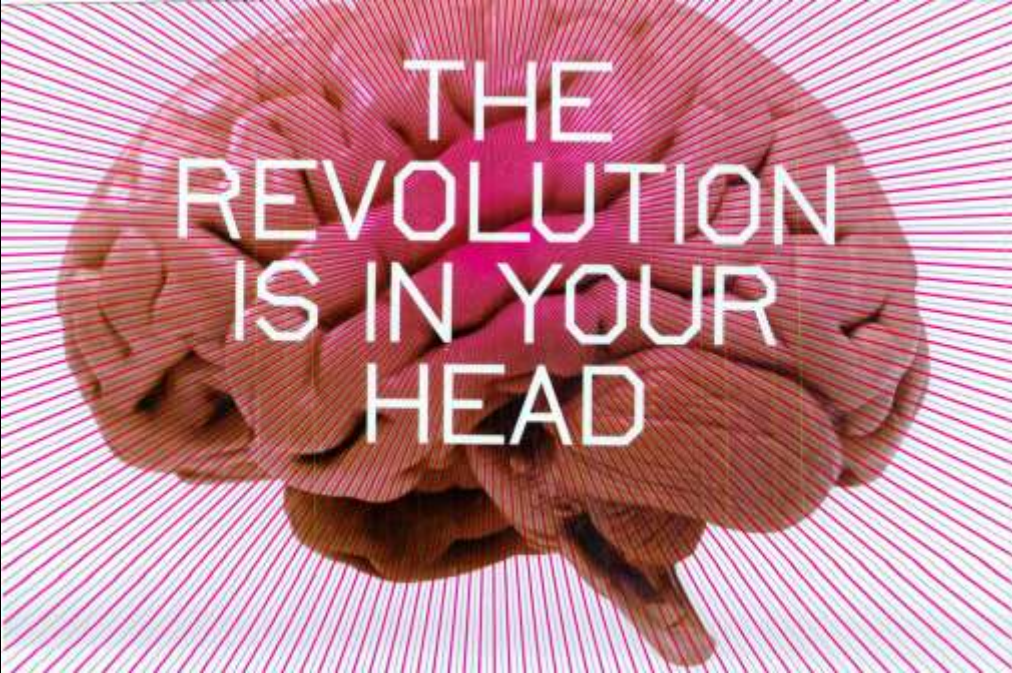




# Towards Sociable Robots: Evolving Human-Robot Relationships

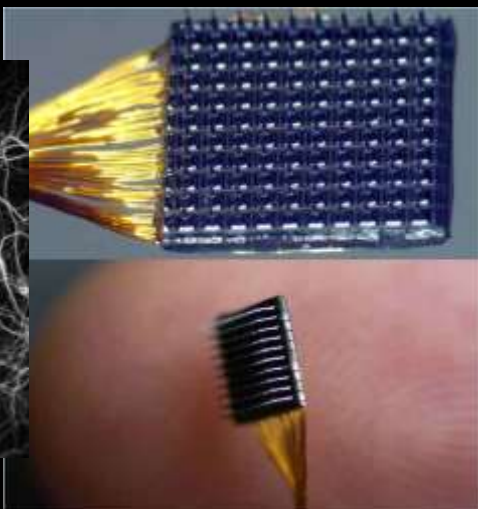
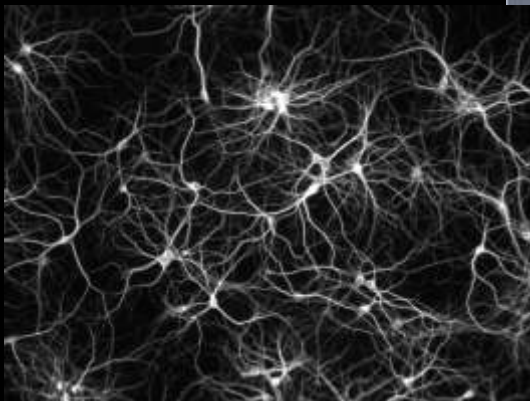


- human aware
- interact, communicate, understand, respond and teach humans
- pro-active intelligent (?) instrument (creature?), not an appliance
- control of adverse behavior
- ethics





# Intelligent Adaptive Neural Systems and Devices for Circumventing Disability



## Neural Signatures of Motor and Cognitive Functions



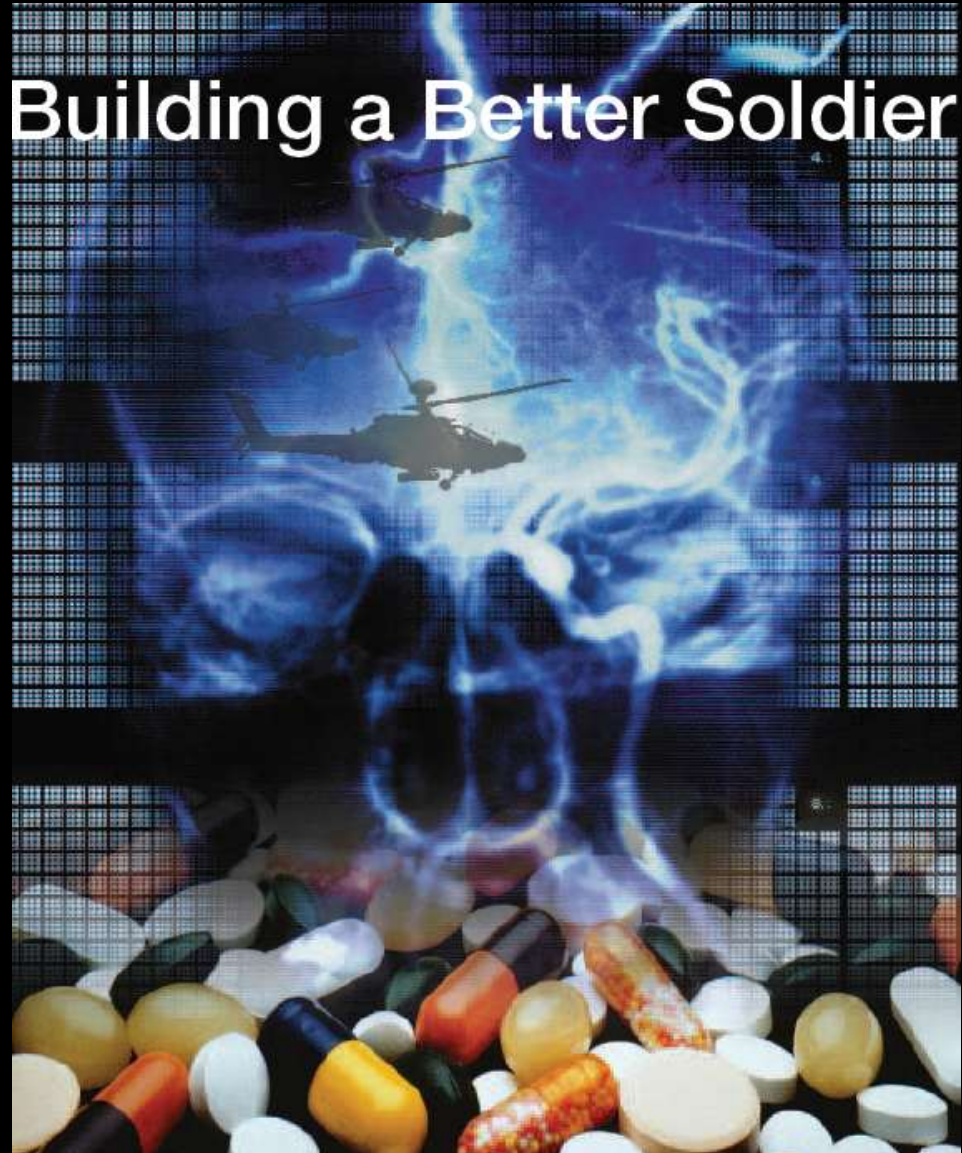
Neuro-Controlled Robotics

Intelligent Prosthetics

## Neural Control of Peripheral Devices

- from invasive to non-invasive controls
- non-invasive, real-time coupling of brain decision codes to control peripheral devices or systems
- ID of neural and force dynamic codes for complex motor/sensory activities
- novel materials for device design and responsiveness to neural code instructions





Human Performance Optimization and Military Missions

May 2005



Human Performance Optimization

Final Report

Written by:  
Dr. Adam Russell  
Bartlett Bulkeley  
Christine Gellion

Completed for:  
Director, Office of Net Assessment

SAIC Project No. 01-1536-04-2520  
Contract No. GS-10F-0297K



Human Performance Optimization and Military Missions

# Neuropharmacology and Neuromodulation



**“Accentuate the positives, medicate the negatives”**

**Actress Amy Sedaris**

- **human performance optimization**
- **optimized learning**
- **memory modulation**
- **induced states**
  - **aggression, fear, lethargy, addiction**



# Neuroenhancement

**“Mental health is the ultimate competitive weapon.  
Even if just a few people choose to use neuro-enhancements,  
their choice will change the basis of business competition  
for the rest of us”**

**Zack Lynch  
Managing Director, NeuroInsights  
AAS Symposium on Impact  
of Human Enhancement  
[www.aas.org/news/releases/  
2006/0609enhancement.shtml](http://www.aas.org/news/releases/2006/0609enhancement.shtml)**

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**(and nations too . . . . .?)**





- **mapping neurocircuitry: the ‘signatures’ of motor, sensory and cognitive states**
- **coupled feedback from attentive computer interface and on-body: in-body sensors**
- **language translation**
- **inter-memetic engineering: sharing concepts**
  - **specialized knowledge**
  - **cultural fusion**
  - **conflict reduction**





## Herd Behavior: 1.3 Million Bathers, Coney Island 1951



## The changing nature of social interaction



## The new “virtual” community

## Virtual Worlds: The Largest Design Space in Technology Today

- avatar-inhabited, multiuser on-line worlds
- immersive spaces in web-based ecosystems
- accommodate complexity, dynamism, diversity and selection
- the emergent synthesis of the global collaboratory
- irreversible, symbiotic (?) interaction with the human nervous system





**Q (Bus. Week):**

**“Is Google really creating a true artificial intelligence or thinking machine, as Goggle’s founders have set up as a goal?”**

**A (Eric E. Schmidt, CEO, Google)**

**“In the next few years, cognition, or real understanding, remains a research goal”**

**Bus. Week 9 April 2007**

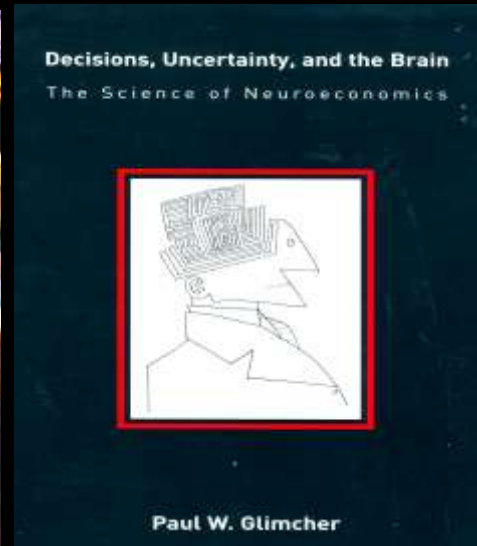
# The Neurobiology of Decision



choice



risk reward



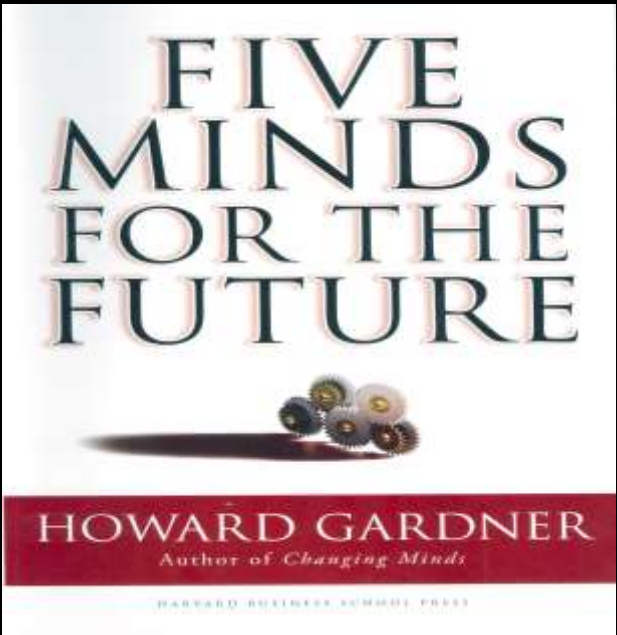
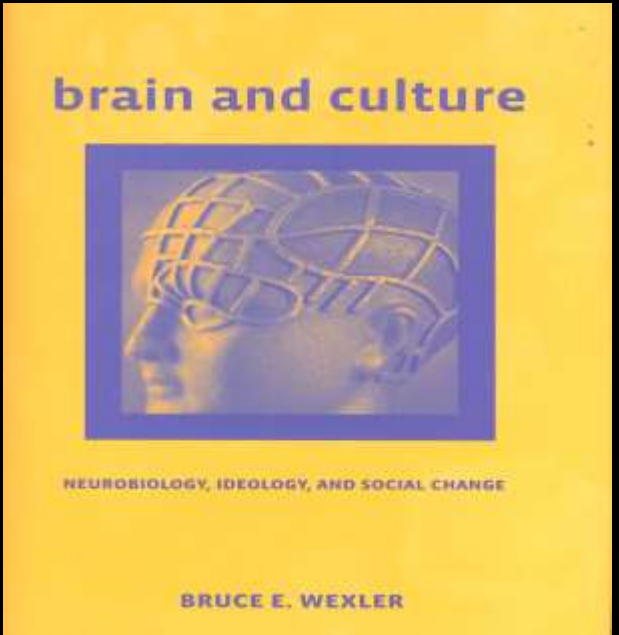
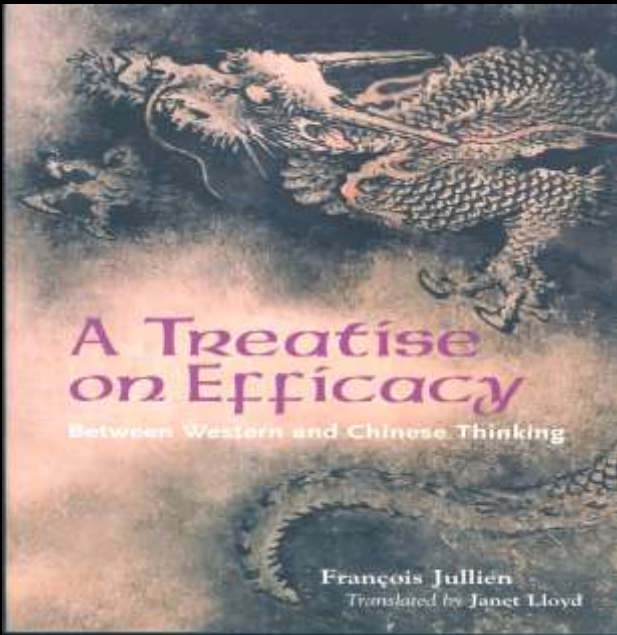
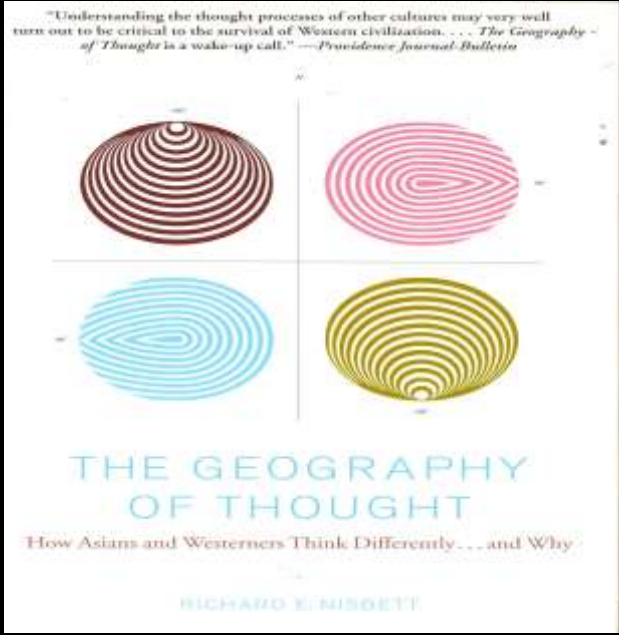
rational (?)  
economics



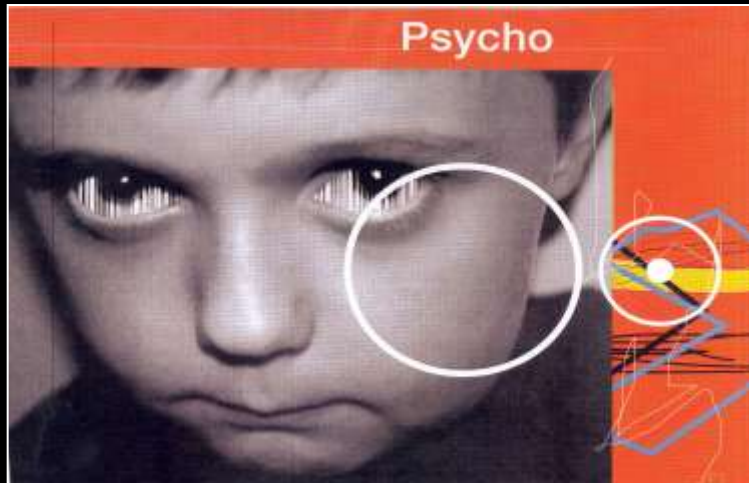
religion



# Kinds of Minds?



# Behavioral Genetics: A Non-PC But Unavoidable Domain of Cognitive Neurosciences





# Eco-: The System of Systems Sustainable Society: “Finite Earth”

- understanding complex adaptive systems (CAS) and evolutionary dynamics
  - networks, interdependence and prediction of emergent properties
  - the choreography of materials, energy and information
- superimposition of complex interactions of human activities
  - accelerating pace of S&T
  - rapid technology diffusion
  - industrialization of developing countries



# Sustainability Imperatives



Reduced GHG  
and Carbon Footprint



Energy Independence  
and Security



Reduced Depletion  
of Non-Renewable Resources



Urbanization and Global Public Health

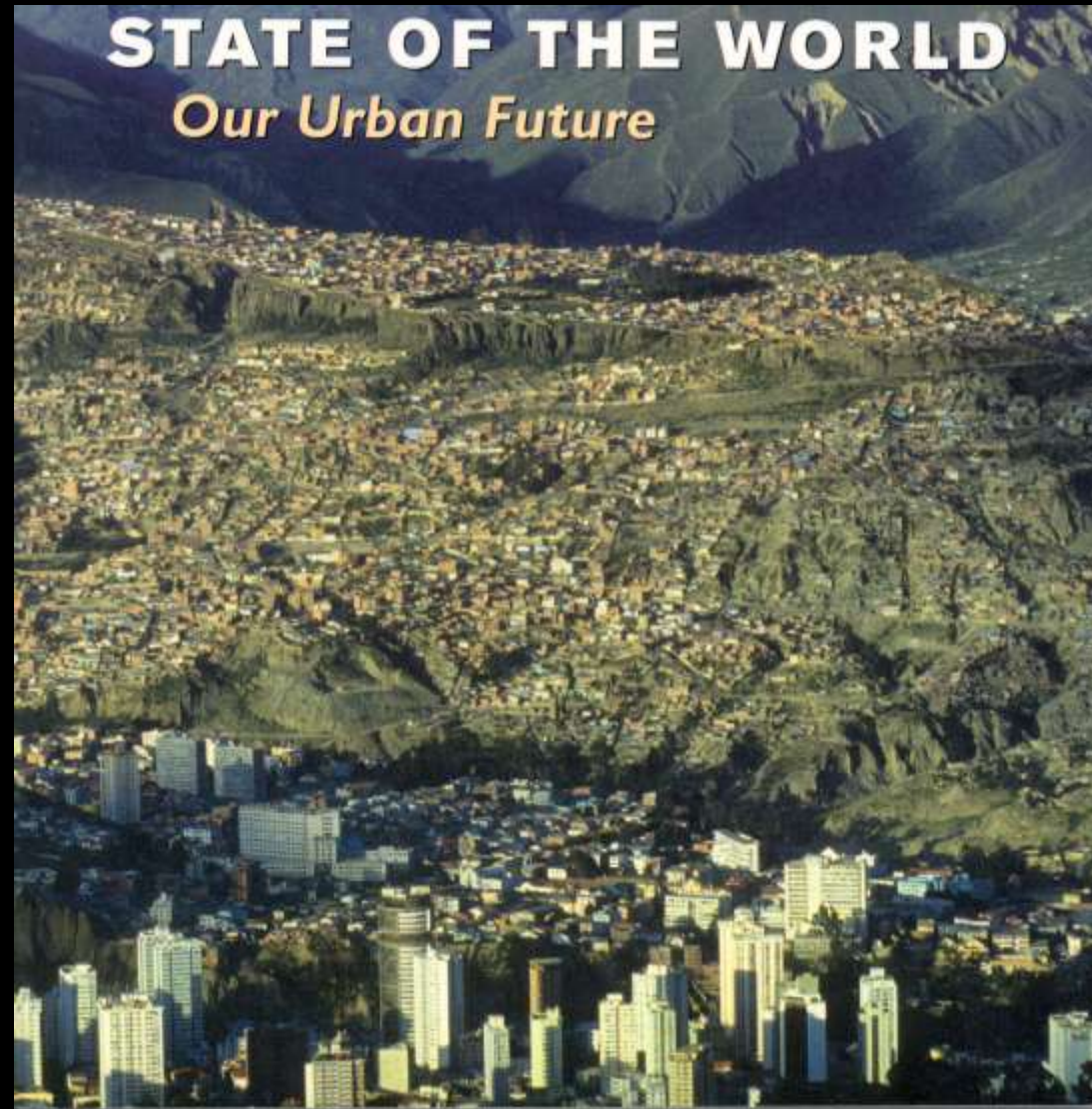


Safe Water Supplies and Health



Toxic Waste and Bioremediation







# The Lack of Public Health Infrastructure in Developing Countries: Urbanization and New Zoonoses





# EIDs: Global Reach and Global Consequences





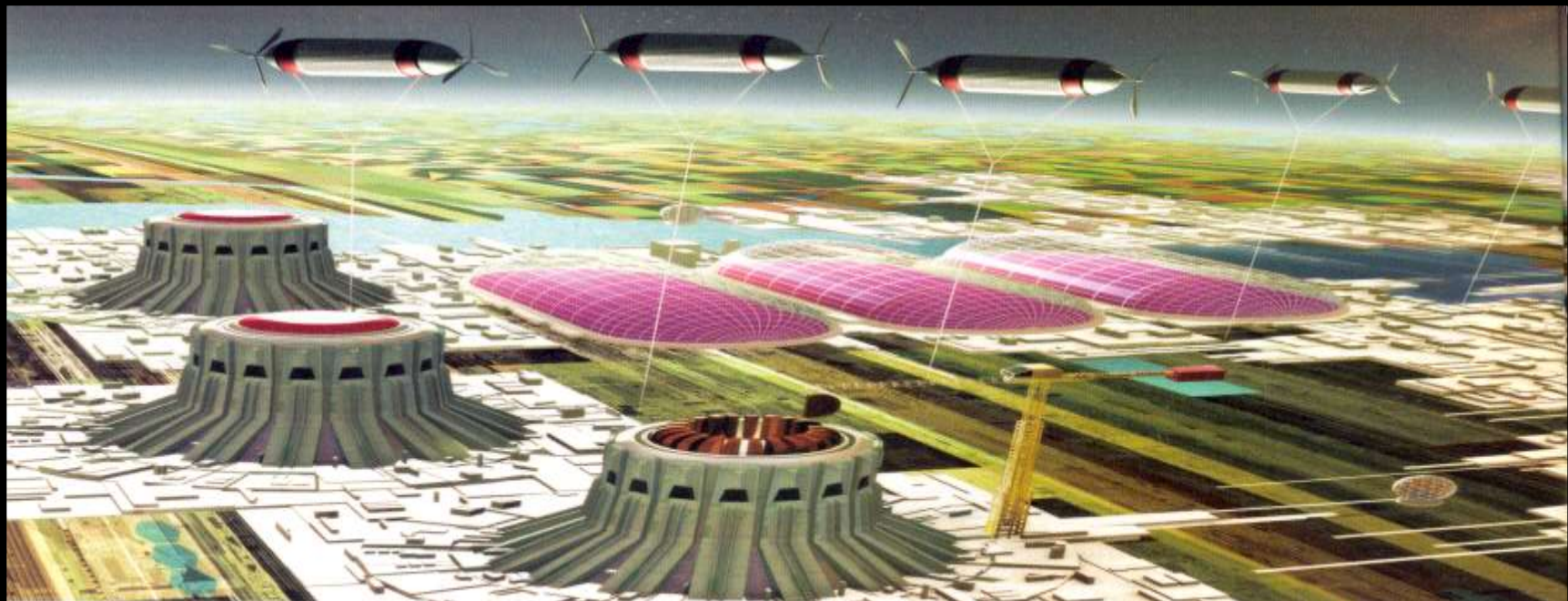
# Water: Supply and Safety

## A Source of Future Geopolitical and Economic Instabilities





# Charting Sustainable Energy Sources

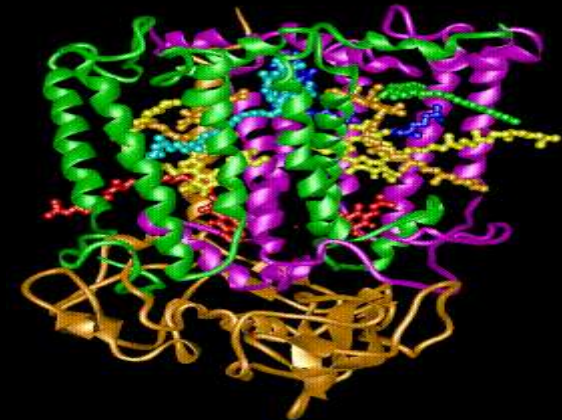
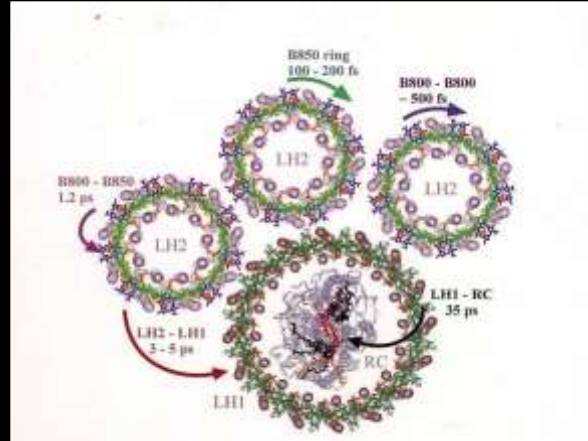








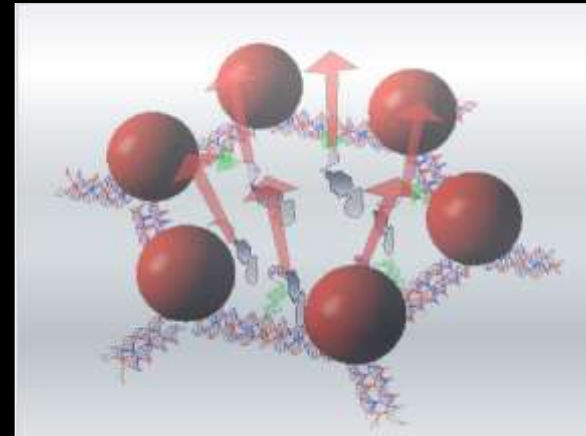
# Design of Biomimetic Molecular Photovoltaics by Directed Molecular Assembly on DNA Scaffolds



Natural Photosynthetic (PS) Systems



Artificial PS Reaction Center



Artificial Reaction Centers on DNA Grid

# The Tiniest Power Plants

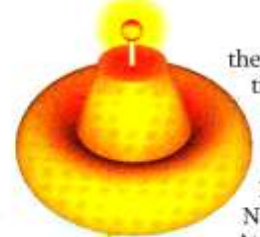
## Scientists are seeing a host of possibilities in electricity produced by microbes

BY JOHN CAREY

**L**EONARD M. TENDER HAD A little demo in his office at the Naval Research Laboratory in Washington that could wow visitors. His computer screen showed air and water temperature data transmitted from a buoy in the nearby Potomac River. The surprise was the power

“the microbes are starved for a place to put the electrons.” When scientists bury an electrode in sediment and connect it in a circuit, the bugs glom on to it and happily supply electricity. The result is one of the world’s most unlikely power plants.

Tender hopes to turn these microbes into power supplies for sensors and instruments in lakes and oceans. That will be a boon for researchers and military sleuths

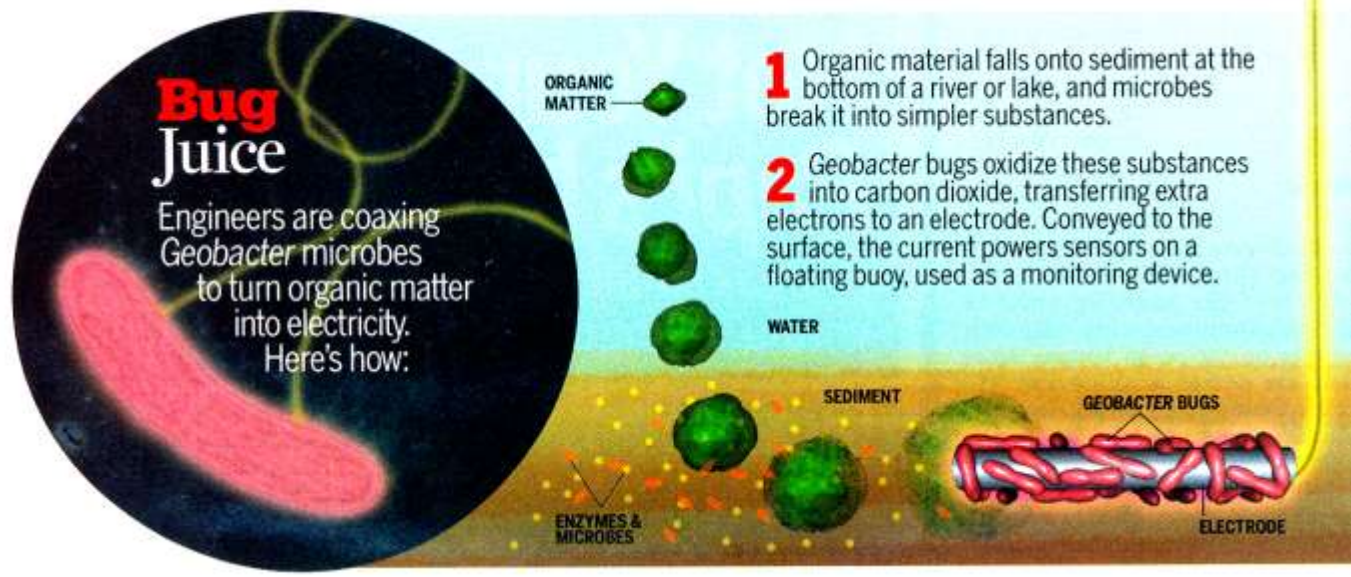


the grip of oil-producing nations by providing alternatives. The research “is at a very, very early stage, but the potential is huge,” says Patrick L. Brezonik of the National Science Foundation. At the University of Massachusetts at Amherst, microbiologist Derek R. Lovley has figured out how these bugs work. To prove their potential, he has designed microbial cells powerful enough to drive toy SUVs and other devices.

### FILAMENT FLOW

LOVLEY RECENTLY made an important discovery. Some species of electricity-producing microbes, such as *Geobacter*, have long, wispy filaments extending out from their cells. At one of his son’s soccer games, Lovley broached the “crackpot” idea with another dad that the filaments could be natural wires. The talk led to experiments proving that electrical current flowed down the filaments. “It’s still quite amazing to me,” says Lovley.

The find has important practical implications. Lovley and others had thought



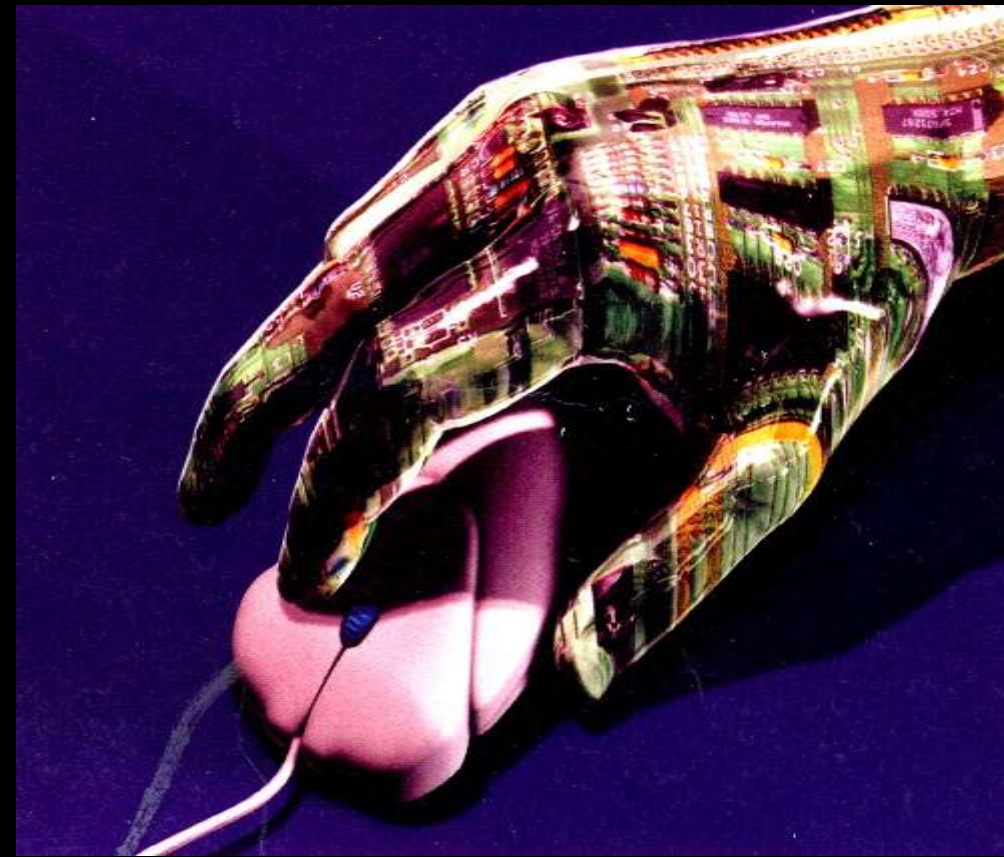


# **Synthetic Biology: Bioremediation and Ecologically Sound Bio-inspired Manufacturing Processes**

**“You can’t throw things away.  
There is no away”**

**Paul Hawken**

# Convergent Technologies and Radical Shifts in Complex Adaptive Systems (Emergence)





# Transformational Technologies and the Relentless Destabilization of Societal and Institutional Relationships

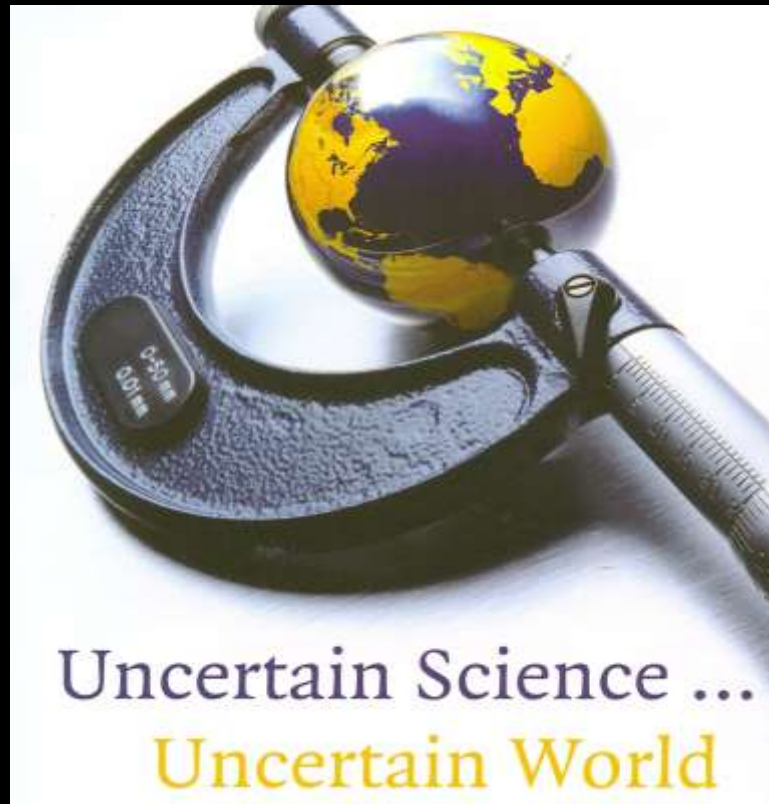


- globalization and cultural homogenization
- new aspirants and future competitiveness
- the widening economic divide between the haves and have nots
- new political tensions, fundamentalism and anti-western ideologies

# Managing Technological Risks: Oversight and Regulation







**“The aim of science  
is not to open the door to infinite wisdom  
but to set a limit to infinite error”**

**Bertolt Brecht**

## No Ambiguity, No Error (No Problem)

**Mr. Spock:** “Insufficient data, Captain”

**Captain Kirk:** “Insufficient data is not sufficient, Mr. Spock.  
You’re the Science Officer.  
You’re supposed to have sufficient data  
all the time”

**Star Trek  
The Immunity Syndrome**



## **“Transcending the Limits of Us”**

**Michael M. Crow (2007) Issues in Science and Technology, Winter p 1**

- **affluence, comfort and complacency reinforced by political populism: a potent prescription for “Quick Fixes”**
- **pervasive and dangerous scientific illiteracy among policy makers and socio-economic elites**
- **current educational system and institutional structures lack the flexibility, resilience and responsiveness to assess large-scale and long-term consequences**
- **better understand the limits of collective ability to acquire, integrate and apply knowledge**
- **imperative for new approaches to comprehend and manage complex adaptive systems**

is that  
an implant?

The future went  
that way . . . sir

