

The State of the Institute Review 14 July 2008

Building Interdisciplinary
Research Excellence
for Innovative
Solutions to Global
Challenges









Agenda

- five year progress report
- launch next phase in the Institute's evolution
- remarks by President Crow



Five Year Accomplishments: Meeting the Challenges

Building an Entirely New Organization in an Era of Accelerating Change

Use-Inspired Research

Implementing a New Organizational Model for Cross-Disciplinary Academic Research: The Evolution of the 'Tightly-Coupled' Institute



The Challenge of Building an Entirely New Organization in an Era of Accelerating Change

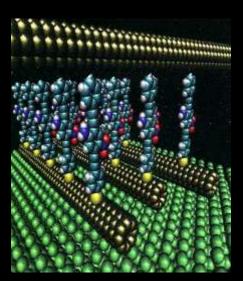




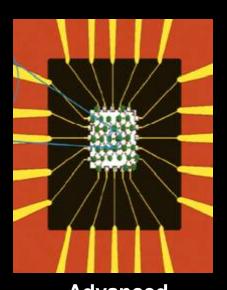
Technology Convergence



Biotechnology, Systems Biology and Synthetic Biology



Nanotechnology
Materials Science
and
Miniaturization
Engineering



Advanced
Computing
and
Knowledge
Management



 technologies with radical, pervasive and enduring impact



THE IMPERATIVE TO ADDRESS MAJOR GLOBAL CHALLENGES



The Challenge of Building a New Organization in an Era of Accelerating Change

- blurring of boundaries between traditional intellectual disciplines
- mastery of technology convergence
 - science, engineering and computing
- escalating funding requirements to support large scale, inter-disciplinary research
- new funding sources to counter anticipated constraints on USG agencies
- globalization of research and intensifying competition



Building an Entirely New Organization in an Era of Accelerating Change: The Intangibles

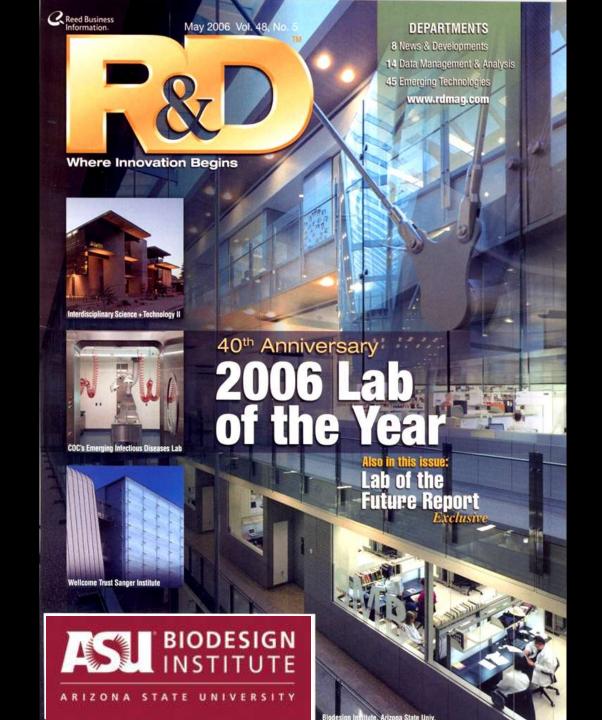
- seeing a bigger vision
- rising to the challenge
- creating a great place to work
- being part of something special
- making an impact on real world problems
- courage to say "I don't know" and "I need your help"
- rejoice in collegial and collective accomplishments
- everyone is an ambassador





5 Year Progress Report

An Institute Without Boundaries





Five Year Accomplishments: Facilities and Staffing

- on-time, on-budget construction and commissioning of 400,000 sq. ft. of superb facilities
- 20 facilities awards
- first LEED Platinum certification for any AZ facility
- recruitment of over 60 faculty and 500 other research and support staff



Big Science Requires a Big Engine





Five Year Accomplishments: Competitive Funding and Return on Investment

- received \$71.26 million in TRIF funds from ASU
- generated \$199 million in external funding
- 3.5X return-on-investment
- 12 patents, 45 patents filed, 122 provisional patent filings, 212 records of invention
- catalyzed major reorganization of Arizona Technology Enterprises (AzTE)

BILL& MELINDA GATES foundation Bringing innovations in health and learning to the global community











Biosciences improving the competitiveness of arizona's biomedical research enterprise















Honeywell

















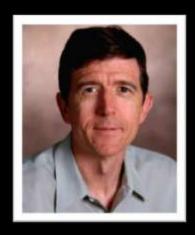




Five Year Accomplishments: Recognition of Research Excellence

- 460 papers in leading journals
- 286 presentations at national/international meetings
- faculty service on 56 national level review panels/advisory groups
- faculty membership on editorial boards of 32 journals and 23 corporate/foundation boards





"This is not your grandfathers science and it can no longer be taught that way"

Dr. Neal Woodbury



Five Year Accomplishments: Education

- design/participate in 10 new ASU courses
- new post-doctoral fellowship scheme
 - 108 fellows
- expand research opportunities for ASU students
 - 316 undergraduates
 - 174 graduate students
- new Ph.D. program in Biological Design
- launch new K-12 educational program



Five Year Accomplishments: Honors and Awards

- faculty recipients of numerous prestigious awards
- Regents Professorships (2)
- ASU Faculty Achievement Awards (3)
- Arizona BioIndustry Researcher of the Year Awards (2)
- Governors Innovator of the Year Award (2)
- Fellowships, American Association for Advancement of Science (3)
- major professional society awards (4)
- R&D Magazine Researcher of the Year (1)
- Einstein Prize: Global Business Leadership Council (1)
- Sloan Foundation Fellowship (1)
- NIH Eureka Award (1)
- DOD Distinguished Career Award (1)



Five Year Accomplishments: Strengthening State-Wide Research and Economic Development

- productive collaborations with AZ-based research institutions
 - TGen, Mayo, Bio-5, Banner, Barrows Neurological Institute, Carl Hayden VA, Scottsdale Health
 - 70 adjunct faculty
- participation in new state-wide initiatives
 - Arizona Proteomics Alliance, Health Research Alliance Arizona, Arizona Bioindustry Association, Arizona Bioscience Roadmap
- successful capture of competitive funding from Science Foundation Arizona
- support civic and legislative initiatives in economic development
 - GPEC, GPL, Gubernatorial initiatives, Arizona Department of Commerce



Five Year Accomplishments: Communications and Public Relations

- diverse target audiences
 - legislature, media, industry, philanthropy
 - professionals, employees, students
 - public
- community relations
 - hosted 733 tours for 4693 visitors
 - monthly e.newsletter to over 1000 subscribers
- media relations
 - 292 major news hits/26 wire stories from 170 press releases
 - high-profile coverage by Nature and Washington Post
 - KAET series on Institute's focus on global challenges

THE biodesign INSTITUTE

ABOUT THE INSTITUTE

EMPLOYMENT

GRADUATE TRAINING

PEOPLE

PARTNERS

RESEARCH CENTERS

ACCOMPLISHMENTS

ARIZONA STATE UNIVERSITY

keyword

SEARCH

CONTACT US



OUTREACH



FEATURE STORY

From foe to friend: Researchers use salmonella as a way to administer vaccines in the body

Researchers at the Biodesign Institute at Arizona State
University have made a major step forward in their work to
develop a biologically engineered organism that can
effectively deliver an antigen in the body. The researchers
report that they have been able to use live salmonella
bacterium as the containment/delivery method for an antigen.
Read More »

NEWS & EVENTS

Can microorganisms be a solution to the world's energy problems?

Biodesign collaborative mettle leads to new SARS vaccine project

ASU Leads the Nation with Largest University Solar Installation

AT THE BIODESIGN INSTITUTE, WE STRIVE TO:

- PREVENT AND CURE DISEASE
- OVERCOME THE PAIN AND LIMITATIONS OF INJURY
- RENEW AND SUSTAIN OUR ENVIRONMENT
- SECURE A SAFER WORLD

RESEARCH CENTERS AT THE BIODESIGN INSTITUTE

- Applied NanoBioscience
- **Bioelectronics and Biosensors**
- **BioEnergetics**
- BioOptical Nanotechnology
- Ecogenomics
- **Environmental Biotechnology**
- **Evolutionary Functional Genomics**
- Infectious Diseases and Vaccinology
- Innovations in Medicine
- Single Molecule Biophysics

NEW INITIATIVES

- GET INTO SCIENCE 90-SECOND VIDEO
- **GREEN INITIATIVES**
- PH.D. PROGRAM IN BIOLOGICAL DESIGN

RESOURCES





Five Year Accomplishments: Communications and Public Relations

- launched Institute web site
- average over 700 hits/day
- pending major redesign of web site
- variety of intranet sites



Five Year Accomplishments: Institutional Advancement

- \$18 million in philanthropic donations
- Virginia G. Piper Trust*
 - \$10 million for Professorial appointments in personalized medicine research
- private philanthropist
 - \$5 million for new Ph.D. program in Biological Design
- next challenge for the Institute to capture major donations for facilities expansion
- *additional \$35 million in collaboration with Partnership for Personalized Medicine



Five Year Accomplishments: External Review

- crucial importance of external assessment
 - quality of research
 - progress in meeting performance goals
 - rational use of expensive resources
 - operational barriers/problems
- established worldclass Institute Advisory Board (IAB)
 - 11 members of National Academies
 - 1 Nobel Laureate in Physiology and Medicine





THE ARIZONA EXPERIMENT

Nature (2007) 446, 968



"ASU is the most radical experiment going on in American higher education."

— George Poste

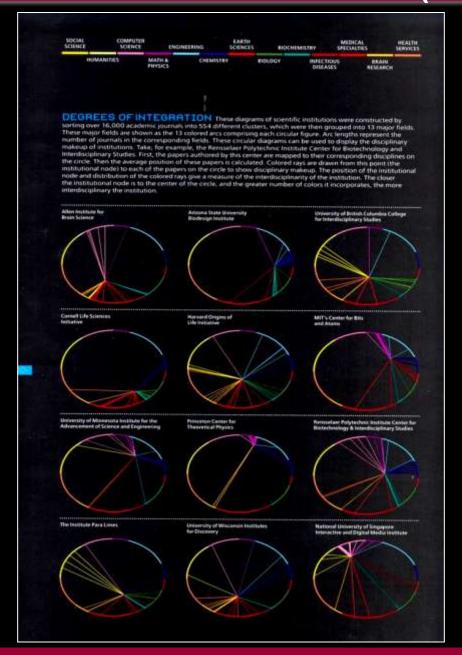


"It is a wonderful thing to be part of a place that is becoming, rather than a place that has been."

Kip Hodges



The Evolution of Inter-disciplinary Academic Research Centers (Seed Jan. 2008)





Five Year Accomplishments: A Critique

Institute Advisory Board Reports

"The Institute has made excellent progress far more than many would have dreamed possible at ASU"

December 2007

"The achievements of BDI in these initial years has been remarkable."

March 2008



It Doesn't Just Happen!



The Challenge of Building a New Organization in an Era of Accelerating Change:







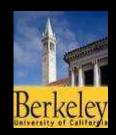


























Five Year Accomplishments: Strategy and Competitiveness

- how can we prosper in an era of rapid and substantial change?
- purposeful proactive planning versus ad hoc and passive reactivity
- how can we do things differently to achieve worldclass recognition?
 - short timeframe to success
- emulation of today's leading institutions is neither realistic nor a guaranteed roadmap for success

GORDON S. WOOD Revolutionary Characters WHAT MADE THE FOUNDERS **Different**



The Challenge of Building a New Organization in an Era of Accelerating Change:









Beijing University of Technology





Making a Difference by Being Different

- vanguard initiatives that differentiate us from the current 'leaders'
- mastery of cross-disciplinary research
 - organizational, financial and cultural transitions
- use-inspired research that excites us and attracts new sponsors
- audacious goals
- relentless focus on a few high profile target areas in which we can attain worldclass status





The Challenge of Building a New Organization in an Era of Accelerating Change: Organizational and Cultural Transitions

- from a predominant focus on single discipline to multi-disciplinary teams
- from limited funding of individual investigators to formidable funding needs of large scale research
 - 3M grants: multi-investigator; multi-institution; multi-million dollar
- from largely autonomous research units to sophisticated orchestration of resources from multiple centers
 - progressive evolution of a "tightly-coupled" Institute



Five Year Accomplishments: Meeting the Challenges

- highly aggressive timetable and performance metrics
- cultural hurdles
 - ambiguity, skepticism, fear, hostility
 - greater collegiality and cooperation
 - 'heavy lifting' for the collective good
 - accountability and deliverability



Creation of a Portfolio of Competitive Research Programs to Address Major Global Challenges

Use-Inspired Research



High Impact Research on Major Global Challenges and Unmet Needs



personalized medicine



outpacing infectious diseases



energy and environment



securing a safer world



synthetic biology



Leveraging Technology Convergence for Diverse Applications



Personalized Medicine

Outpacing Infectious Disease

Energy and Environment Securing a Safer World

Synthetic Biology

Innovative
Solutions for
Major Global
Challenges











Unifying
Technology
Platforms

Unique Signatures **Signature Detection**

Actionable Information



Innovative
Solutions for
Major Global
Challenges











Unifying Technology Platforms

Unique Signatures **Signature Detection**

Actionable Information

Objective

Profile

Sense

Act



Integrated Functional Platforms to Exploit Technology Convergence

Identification of Unique Signatures

Detection of Signatures in Diverse Settings

Format
and Transmit
Actionable
Information
for
Optimum
Decisions

Profile

Sense

Act

Life Sciences and Mathematical/Statistical Tools for Complex Signal Analysis

Nanotechnology, Miniaturization Engineering, Materials Science Large Scale Informatics and Information Architectures



Leveraging Common Technology Platforms for Diverse Applications

Personalized Medicine



Outpacing Infectious Disease



Energy and Environment



Securing a Safer World



Synthetic Biology



Unique Signatures

Molecular Detection Systems: Diagnostics, Sensors & Taggants

Remote Monitoring: Wireless and Network Architectures

Personalized Medicine

Vaccines Safe Water Bioremediation Bioenergy

Tag, Track, Locate Bio-inspired Mfg.

Informatics: Complex Signal Deconvolution, Data Formatting & Visualization



Assembling a Competitive Portfolio of Projects at Different Levels of Scientific and Technological Maturity



Apollo Projects

- major projects and significant interdisciplinary collaboration
- dedicated project management resources
- supported by significant external funding

Gemini Projects

- maturing high profile projects with anticipated progression to Apollo status 18-24 months
- mixture of internal (TRIF) and external funding

Frontier Projects

- highly innovative concepts that require confirmatory data to attract external funding
- 'seed' funding for one year

Core Technologies

 advanced technologies that support multiple Apollo and/or Gemini Projects



Innovative Solutions for Global Challenges

Personalized Medicine

Outpacing Infectious Disease

Energy and <u>Env</u>ironment Securing a Safer World

Synthetic Biology











Apollo Projects

- Synbodies and Molecular Diagnostics (Doc-In-a-box)
- Partnership for Personalized Medicine
- Cancer Vaccines

- New Era
 Vaccine
 Technologies
 - Tubes-inthe-Desert
- Forensic Profiler
- Living systems Engineering
- Bio-inspired
 Design, Assembly
 and Manufacturing



Innovative Solutions for Global Challenges

Personalized Medicine

Outpacing Infectious Disease

Energy and **Environment** Securing a Safer World

Synthetic Biology











Gemini **Projects**

- DNA Scaffolding*
- Next Generation **DNA** sequencing*
- Next Generation **DNA Sequencing***
- Population Proteomics*
- Dark Genome

- Synthetic **Genomics** for
- Immunization Biomimetic
- Biohydrogen*
- Molecular Photovoltaics*
 - Fuel Cells*
- Dirty Bomb DX*
- Nanowires*
- Sensors for Explosives **Detection***
- On Body: In Body **Sensors** (OBIBs)

- Ecogenomics*
- Metagenomics *
- Dark Genome



A "Tightly Coupled" Research Institute

- interdisciplinary
- integrated
- aligned
- competitive

DELIVERY and ACCOUNTABILITY

IMPACT



A Tightly Coupled Institute

- organizational model adopted in US National Laboratories
 - complex, multi-disciplinary projects
 - constant changes in skill/resources as projects evolve
- alignment (tightly) around shared goals
- integration of diverse skills and centers (coupled)
- performance milestones for high impact outcomes



A Tightly Coupled Institute

- new concept for academic research
- progressive evolution from traditional "loose federation" of collaborating units to increasingly coordinated integration
- significant cultural and management transitions
- new governance mechanisms for oversight and coordination of project portfolio



Creation of a New Governance Framework for **Managing Large Scale, Cross-Disciplinary Projects**



The Biodesign Directorate



George Poste Institute Director



Michael Tracy
Director, California
Collaboration Initiative



Jeffrey DarbutDirector, Finance &
Operations



Kimberly Ovitt
Director, Communication
& Institutional
Advancement



Heather AndersonDirector, Strategic
Integration



Roy Curtiss
Director, Infectious
Diseases and
Vaccinology



Neal Woodbury Director, BioOptical Nanotechnology



Stephen A.
Johnston
Director,
Innovations in Medicine



Stuart LindsayDirector, Single Molecule
Biophysics



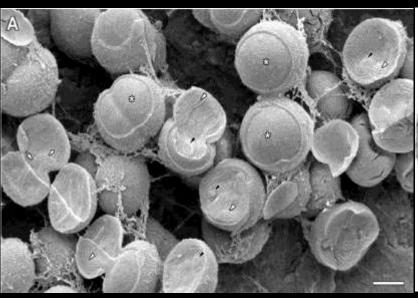
Deirdre Meldrum Director, Ecogenomics

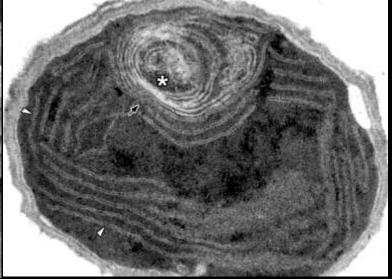


Apollo Projects

Tubes-in-the-Desert

high yield bacterial biomass and biodiesel production



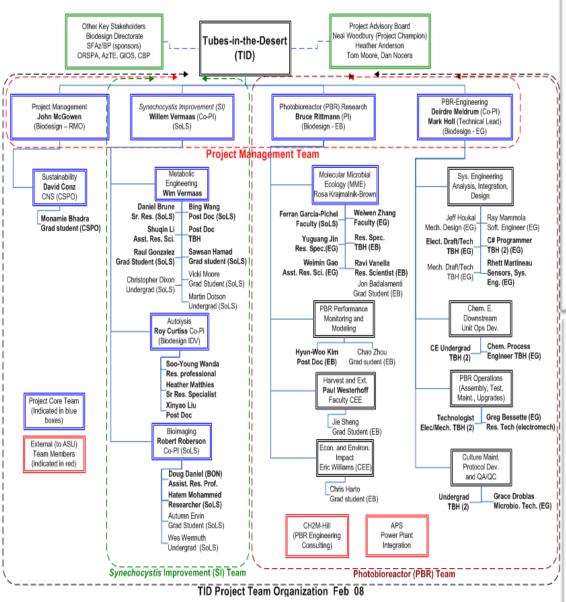


















Five Year Accomplishments: Robust Administrative Systems and Support Systems

- Office of Strategic Integration and Research Management
- establish comprehensive framework to support cross-disciplinary research teams
- significant reform/refinement of ASU policies
 - personnel, budgeting, purchasing, audit,
 - animal welfare, biosafety
 - sponsored research
 - standardized CDA/MTA procedures
 - clinical trials
- employee training and orientation programs



The Increased Emphasis on Business Development & Strategic Alliances





























Creating new collaborative networks



The California Collaboration Initiative (CCI)

- unmatched scale and sophistication of California academic and industrial research plus venture capital resources
- strategic imperative for Biodesign/ASU to increase collaborations/3M awards
- initial focus on synthetic biology
 - UCB, UCSF, Stanford, QB3
 - 'bio-fab' consortium
 - venture capital investments
- led by M. Tracy



Five Year Accomplishments: Competitive Intelligence

- Strategy and Research Alliance Unit established December 2005
- 24 major analyses of emerging technical areas
- 57 profiles of organizations of interest
- 16 detailed biographies of researchers of interest (recruitment/collaboration)



Five Year Accomplishments: Robust Administrative Systems and Support Systems

- set quantitative performance metrics
- parameters for continuous improvement
- customer-centric responsiveness
- standardization and automation
- electronic tracking systems
- electronic laboratory notebooks and IP



Five Year Accomplishments: Information Technologies

- scale, specialization and sophistication
- largest IT research infrastructure on ASU campus
- 53 servers with 238TB total storage
- full backup and disaster recovery
- adoption as best practices elsewhere in ASU
- comprehensive AV capability
 - videoconferencing, podcasting, full HD studio
- expanding web-based applications
 - Institute web site
 - intranet services



A Multi-Tiered System for Planning and Oversight of the Research Portfolio

Internal

- Institute Director and Deputy Director
- Institute Center Directors
- Apollo and Gemini Project Leaders/Team Members
- Office of Strategic Integration and Management
- Government and Industry Liaison Office

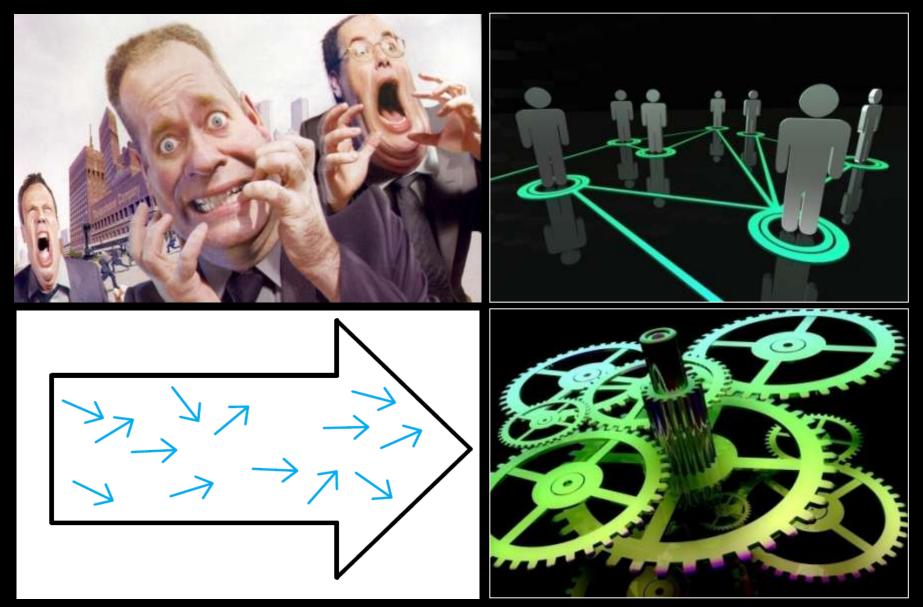
External

- Office of the President
 - WGB
- Institute Advisory Board
- Success in competitive funding
- Publications and citations
- Major conferences and board invitations

Impact on Global Challenges



Progressive Alignment, Shared Goals and a Collective Ethos for Success





The Challenge of Radical Change

- radical change is disruptive
- radical change is threatening
- radical change places great demands on individuals
 - engaging with unknown/unproven
 - adoption of new methods and acquisition of new skills
 - mastery of constant ambiguity and doubt
 - relentless, and often hostile, opposition from status quo defenders
 - untiring advocacy in the face of naysayers, the petty and the malignant



The Next Phase in the Evolution of the Institute



The Next Phase in the Evolution of the Institute

- sustain momentum of current project portfolio
- continue to refine application of tight-coupling to optimize cross-disciplinary research goals
- expand external revenue streams to achieve economic self-sufficiency by 2012
- diversify funding sources and increase fraction of 3M revenues
- increase private-public partnerships
 - diversify funding sources
 - expand licensing revenues from IP and related assets

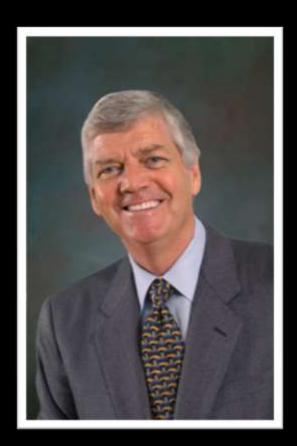


The Next Phase in the Evolution of the Institute

- launch of the Complex Adaptive Systems Initiative (CASI) at ASU
- opportunity for ASU to be in the vanguard of research scholarship in emerging areas of science that will demand broad integration of intellectual resources across the entire university



The Launch of the Complex Adaptive Systems Initiative (CASI)



Chief Scientist, Complex Adaptive Systems Initiative, Arizona State University



Leadership Transition

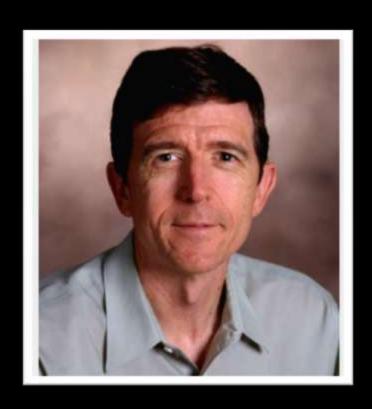


Leadership Transition for the Institute

- G. Poste to assume new role as Chief Scientist, CASI
- international search to be launched for successor with worldclass credentials
- G. Poste will remain Institute Director until successor is recruited
- crucial importance of continuity and providing new Director with access to ongoing experience and insights into the Institute's goals and operations



Leadership Transition for the Institute



Appointment of Dr. Neal Woodbury as Deputy Director, The Biodesign Institute



Understanding the Design Principles of Complex Adaptive Systems:

An Ambitious Theme for Research Excellence at ASU



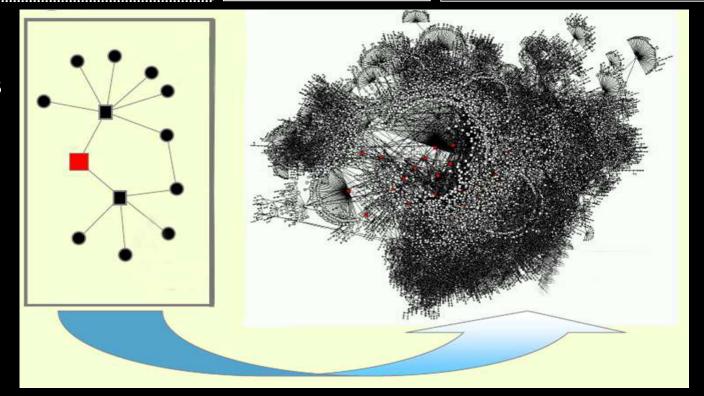


"Complexity is the new science.
Everything is complex.
Every problem in the world is a system.
The disciplines are classified by people, but nature never recognizes them."

C. S. Kiang Founding Dean, College of Environmental Sciences Beijing University Cited in Seed January 2008, p. 56

Protein Interactions Local Ecosystem Internet Traffic

Networks



Systems

of

Systems

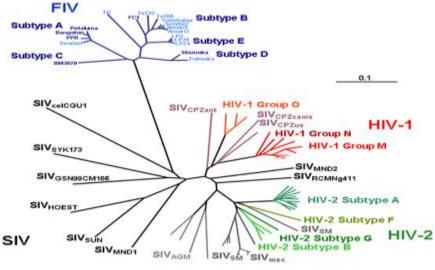
Individual Interactions Lead to Complex Systems

National Power Grid

Global Financial Markets





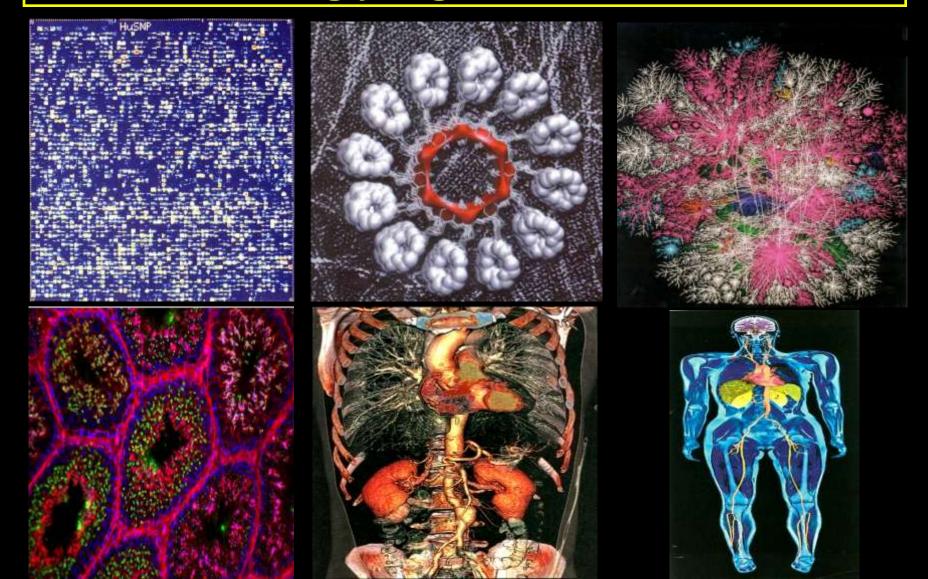




Viral Epidemiology

Urban Crime Patterns

Comprehending Biological Design: The Design of Complex, Adaptive Networks of Increasingly Higher Structural Order



Principles of Design

- understanding connectivity patterns and unitary organizational principles in seemingly highly different entities
- ultimate resolution as patterns of information flow
 - "it from bits"
 - "the ecology of information networks"
 - "the ecology of knowledge"
- overarching and unifying concept for the integration of knowledge
 - science, technology, humanities, law, business

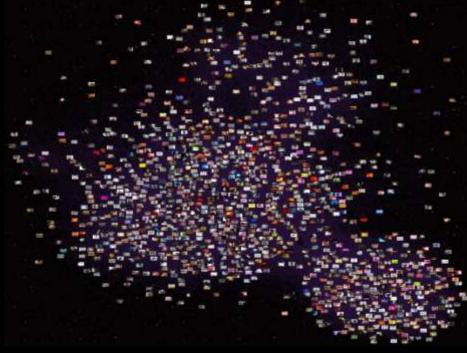
The Elegance of Design: Combinatorial Assembly of Complex Adaptive Systems

- assembly of higher order complexity/functional diversity
 - components
 - circuits
 - networks
 - system
 - connectivity of systems
- connectivity of systems
- system of systems

Combinatorial Assembly and Complexity







Biological Design: "Endless Forms Most Beautiful": Limitless Diversity From Combinatorial Assemblies of Limited Building Blocks

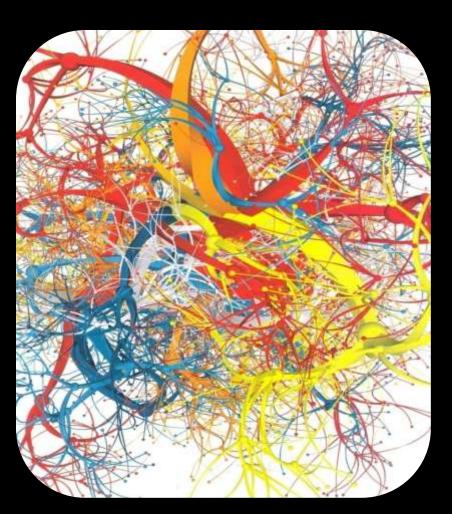


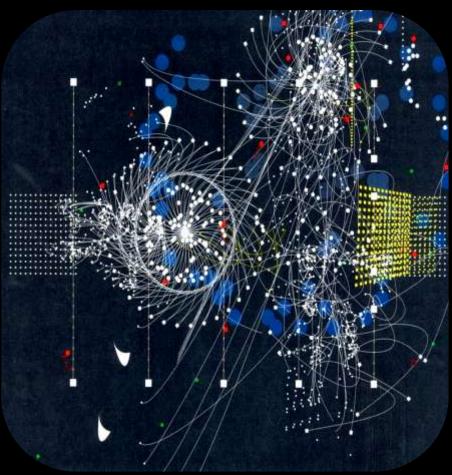


"Simplicity is the ultimate sophistication"

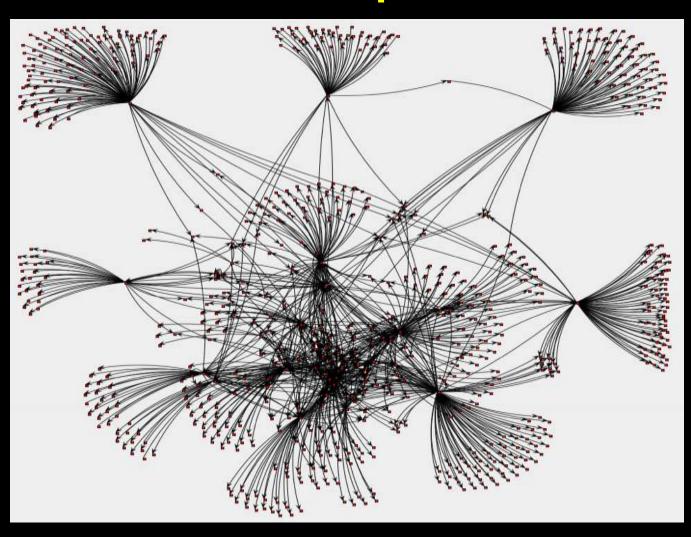
Leonardo de Vinci

Elucidation of the Structure of Scale-Free Networks and Interaction Patterns

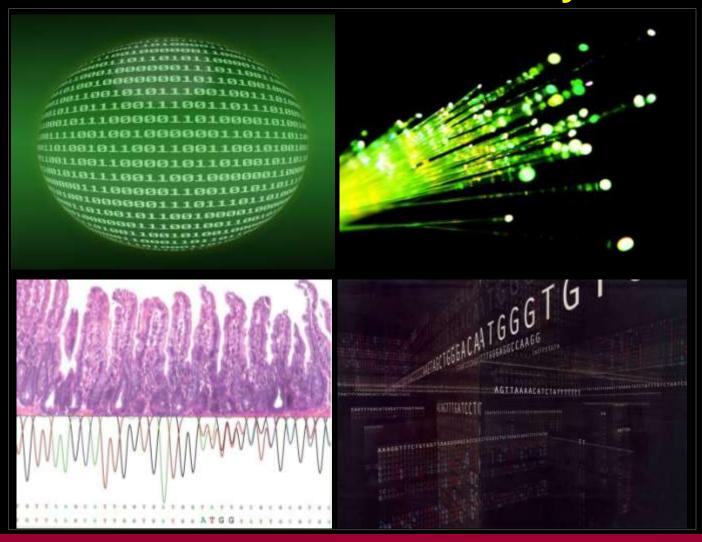




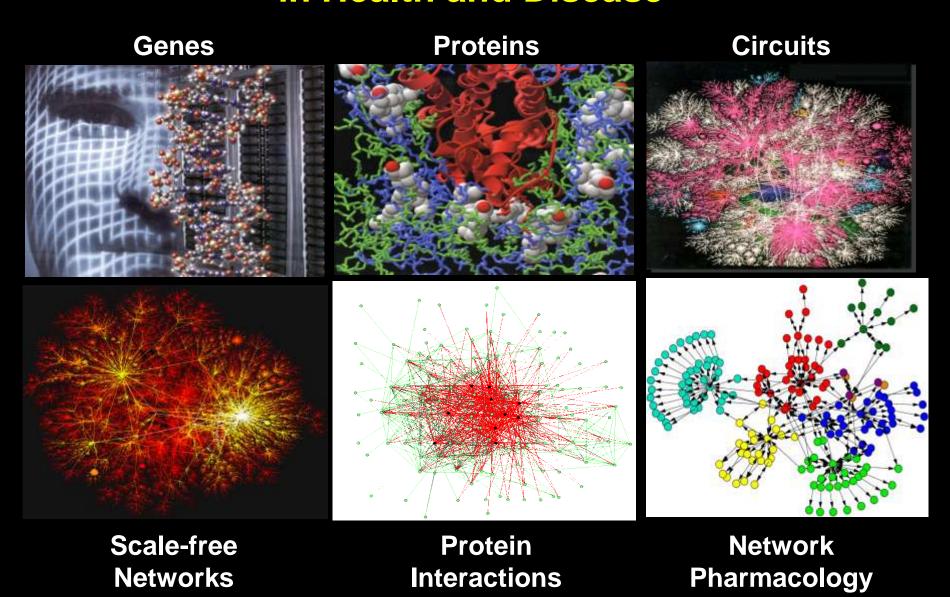
The Elegance of Design: Scale-Free Networks: a Ubiquitous Design Principle



The Elegance of Design: Unitary Codes for the Assembly and Interaction of Networks and Systems



Systems Biology: Mapping the Assembly, Control and Perturbation of Molecular Networks in Health and Disease



Features of Complex Adaptive Systems: Highly Optimized Tolerance and Far-From Equilibrium States

Convergence

Emergence



 novel interactions between previously distinct networks/systems



 new patterns of convergence trigger new system with highly different and unexpected features

"For most of us design is invisible. Until it fails" Bruce Mau. Massive Change. 2004



Convergence and Radical Shifts in Complex Adaptive Systems (Emergence)





Convergence in Complex Adaptive Systems and the Emergence of Discontinuities

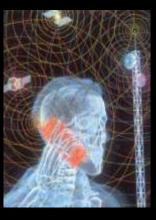
Ubiquitous Sensing

Synthetic Biology

Brain: Machine Interactions

Infocosm and Metaverse

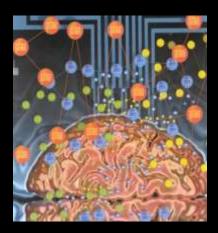
Cosmology



"Connected Space"



"Exploring Biospace"



"Cognitive Space"



"Cyberspace"



"Outer Space"

Ever Shifting, Multi-Dimensional Matrices in the Ecology of Knowledge

The Complex Adaptive Systems Initiative (CASI)

- intellectual foundation for increasingly accurate prediction of CAS behavior and directed design of desired CAS dynamics
- the ecology of information
- the ecology of knowledge



The Complex Adaptive Systems Initiative (CASI)

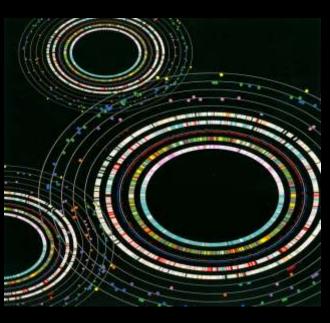
- both exemplar and a catalyst to expand useinspired, cross-disciplinary research more broadly at ASU
- leveraging Biodesign's success to launch additional research initiatives in which ASU can achieve worldclass status
 - focus on nascent, emerging areas arising from the 'convergence' of previously distinct research domains
- draw upon substantial but dispersed expertise at ASU

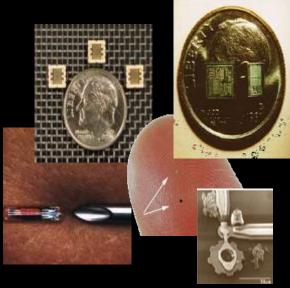
Initial Research Areas for CASI

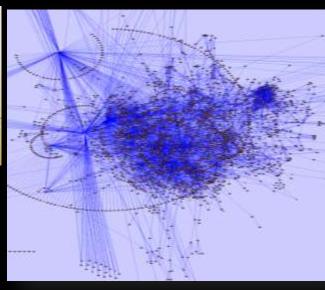
Synthetic Biology

Ubiquitous Sensing

CAS Modeling and Simulation







- Engineering of Biological Networks
- Remote Monitoring for Healthcare and Environmental Sustainability

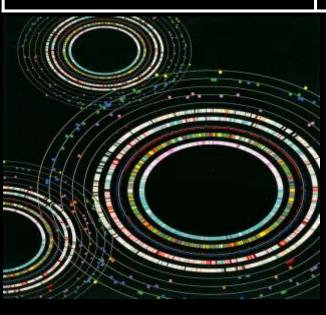
 Advanced Medical Diagnostics and Healthcare Information Systems

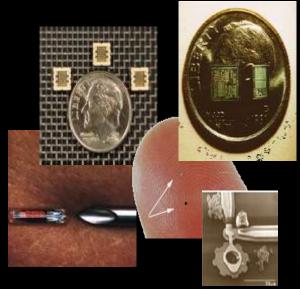
Initial Research Areas for CASI

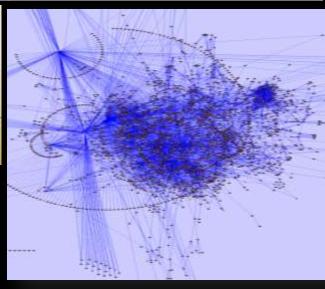
Synthetic Biology

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- Engineering of Biological Networks
- Remote Monitoring for Healthcare and Environmental Sustainability

 Advanced Medical Diagnostics and Healthcare Information Systems

A New Industrial Ecology

Early Detection of Adverse Trends

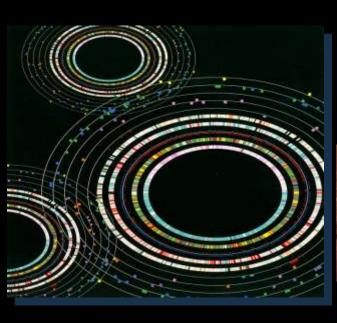
Predict and Prevent Disease

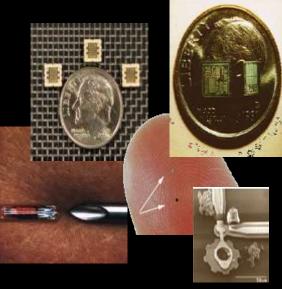
Initial Research Areas for CASI

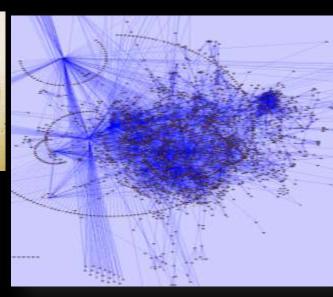
Synthetic Biology

Ubiquitous Sensing

CAS Modeling and Simulation







- Engineering of Biological Networks
- Remote Monitoring for Healthcare and Environmental Sustainability

 Advanced Medical Diagnostics and Healthcare Information Systems

Dual-Use Complexity
Science and Industrial Policy, Regulation and Oversight
Socio-Cultural, Ethical and Legal Implications

Meta-Planning for Synthetic Biology



metagenomics

- ecogenomics
- biobricks*
- biofoundry*

Astrobiology*

biogeochemistry







materials*

bioengineering*

- chemistry
- physics
- mathematics





- pattern analysis
- simulation of complex systems

sustainability initiatives*





ethics/science policy/regulation





Analysis, Modeling and Simulation of CAS: New Computing and Knowledgement Challenges

Volume Integration **Global Networks**

Aorta: Always on, Real Time Access: Interactive, Distributed and Customized



The Complex Adaptive Systems Initiative (CASI)

- provide a strategic template for integration of diverse intellectual capabilities across ASU to achieve worldclass status in CAS <u>research</u>
- catalyst for ASU's new 'meta-planning' exercise to map future focus, resource needs and applications
- thematic signature for ASU research excellence
- networks of expertise versus dedicated "institute" infrastructure
- Biodesign as vital component of several CASI intiatives



Ever Onward!

Intellectual "Grand Challenges"

Pragmatic "Real World Challenges"

Competency,
Courage and
Commitment
to
Engage Complex
Issues

"Urgency"

"Focus and Resolve"

"Passion and Purpose"



Five Year Accomplishments

THANK YOU







the future starts here